

## Scientific rationale of Saturn's *in situ* exploration

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## Abstract

Remote sensing observations meet some limitations when used to study the bulk atmospheric composition of the giant planets of our solar system. A remarkable example of the superiority of *in situ* probe measurements is illustrated by the exploration of Jupiter, where key measurements such as the determination of the noble gases abundances and the precise measurement of the helium mixing ratio have only been made available through *in situ*

measurements by the Galileo probe. This paper describes the main scientific goals to be addressed by the future *in situ* exploration of Saturn placing the Galileo probe exploration of Jupiter in a broader context and before the future probe exploration of the more remote ice giants. *In situ* exploration of Saturn’s atmosphere addresses two broad themes that are discussed throughout this paper : first, the formation history of our solar system and second, the processes at play in planetary atmospheres. In this context, we detail the reasons why measurements of Saturn’s bulk elemental and isotopic composition would place important constraints on the volatile reservoirs in the protosolar nebula. We also show that the *in situ* measurement of CO (or any other disequilibrium species that is depleted by reaction with water) in Saturn’s upper troposphere may help constraining its bulk O/H ratio. We compare predictions of Jupiter and Saturn’s bulk compositions from different formation scenarios, and highlight the key measurements required to distinguish competing theories to shed light on giant planet formation as a common process in planetary systems with potential applications to most extrasolar systems. *In situ* measurements of Saturn’s stratospheric and tropospheric dynamics, chemistry and cloud-forming processes will provide access to phenomena unreachable to remote sensing studies. Different mission architectures are envisaged, which would benefit from strong international collaborations, all based on an entry probe that would descend through Saturn’s stratosphere and troposphere under parachute down to a minimum of 10 bars of atmospheric pressure. We finally discuss the science payload required on a Saturn probe to match the measurement requirements.

*Keywords:* Entry probe, Saturn atmosphere, giant planet formation, solar system formation, *in situ* measurements, elemental and isotopic composition

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## 1. Introduction

Giant planets contain most of the mass and the angular momentum of our planetary system and must have played a significant role in shaping its large scale architecture and evolution, including that of the smaller, inner worlds (Gomes et al., 2005). Furthermore, the formation of the giant planets affected the timing and efficiency of volatile delivery to the Earth and other terrestrial planets (Chambers and Wetherill, 2001). Therefore, understanding giant planet formation is essential for understanding the origin and evolution of the Earth and other potentially-habitable environments throughout our solar system. The origin of the giant planets, their influence on planetary system architectures, and the plethora of physical and chemical processes at work within their atmospheres, make them crucial destinations for future exploration. Because Jupiter and Saturn have massive envelopes essentially composed of hydrogen and helium and (possibly) a relatively small core, they are called gas giants. Meanwhile, Uranus and Neptune also contain hydrogen and helium atmospheres but, unlike Jupiter and Saturn, their  $\text{H}_2$  and He mass fractions are smaller (5 to 20%). They are called ice giants because their density is consistent with the presence of a significant fraction of ices/rocks in their interiors. Despite this apparent grouping into two classes of giant planets, the four giant planets likely exist on a continuum, each a product of the particular characteristics of their formation environment. Comparative planetology of the four giants in the solar system is therefore essential to

23 reveal the potential formational, migrational, and evolutionary processes at  
24 work during the early evolution of the early solar nebula.

25     Much of our understanding of the origin and evolution of the outer pla-  
26 nets comes from remote sensing by necessity. However, the efficiency of this  
27 technique has limitations when used to study the bulk atmospheric compo-  
28 sition that is crucial to the understanding of planetary origin, namely due  
29 to degeneracies between the effects of temperatures, clouds and abundances  
30 on the emergent spectra, but also due to the limited vertical resolution. In  
31 addition, many of the most common elements are locked away in a conden-  
32 sed phase in the upper troposphere, hiding the main volatile reservoir from  
33 the reaches of remote sensing. It is only by penetrating below the “visible”  
34 weather layer that we can sample the deeper troposphere where those most  
35 common elements are well mixed. A remarkable example of the superiority  
36 of *in situ* probe measurements is illustrated by the exploration of Jupiter,  
37 where key measurements such as the determination of the noble gases abun-  
38 dances and the precise measurement of the helium mixing ratio have only  
39 been possible through *in situ* measurements by the Galileo probe (Owen et  
40 al., 1999).

41     The Galileo probe measurements provided new insights into the formation  
42 of the solar system. For instance, they revealed the unexpected enrichments  
43 of Ar, Kr and Xe with respect to their solar abundances, which suggested  
44 that the planet accreted icy planetesimals formed at temperatures possibly  
45 as low as 20–30 K to allow the trapping of these noble gases. Another remar-  
46 kable result was the determination of the Jovian helium abundance using a  
47 dedicated instrument aboard the Galileo probe (von Zahn et al., 1998) with

48 an accuracy of 2%. Such an accuracy on the  $\text{He}/\text{H}_2$  ratio is impossible to  
 49 derive from remote sensing, irrespective of the giant planet being consid-  
 50 ered, and yet precise knowledge of this ratio is crucial for the modelling of  
 51 giant planet interiors and thermal evolution. The Voyager mission has al-  
 52 ready shown that these ratios are far from being identical, which presumably  
 53 results from slight differences in their histories at different heliocentric dis-  
 54 tances. An important result also obtained by the mass spectrometer onboard  
 55 the Galileo probe was the determination of the  $^{14}\text{N}/^{15}\text{N}$  ratio, which sugges-  
 56 ted that nitrogen present in Jupiter today originated from the solar nebula  
 57 essentially in the form of  $\text{N}_2$  (Owen et al., 2001). The Galileo science payload  
 58 unfortunately could not probe to pressure levels deeper than 22 bars, pre-  
 59 cluding the determination of the  $\text{H}_2\text{O}$  abundance at levels representative of  
 60 the bulk oxygen enrichment of the planet. Furthermore, the probe descended  
 61 into a region depleted in volatiles and gases by unusual “hot spot” meteorol-  
 62 ogy (Orton et al., 1998; Wong et al., 2004), and therefore its measurements  
 63 are unlikely to represent the bulk planetary composition. Nevertheless, the  
 64 Galileo probe measurements were a giant step forward in our understanding  
 65 of Jupiter. However, with only a single example of a giant planet measu-  
 66 rement, one must wonder whether from the measured pattern of elemental  
 67 and isotopic enrichments, the chemical inventory and formation processes at  
 68 work in our solar system are truly understood. *In situ* exploration of giant  
 69 planets is the only way to firmly characterize the planet compositions in the  
 70 solar system. In this context, a Saturn probe is the next natural step beyond  
 71 Galileo’s *in situ* exploration of Jupiter, the remote investigation of its interior  
 72 and gravity field by the JUNO mission, and the Cassini spacecraft’s orbital

73 reconnaissance of Saturn.

74     *In situ* exploration of Saturn’s atmosphere addresses two broad themes.  
75 First, the formation history of our solar system and second, the processes at  
76 play in planetary atmospheres. Both of these themes are discussed throughout  
77 this paper. Both themes have relevance far beyond the leap in understanding  
78 gained about an individual giant planet : the stochastic and positional va-  
79 riances produced within the solar nebula, the depth of the zonal winds, the  
80 propagation of atmospheric waves, the formation of clouds and hazes and  
81 disequilibrium processes of photochemistry and vertical mixing are common  
82 to all planetary atmospheres, from terrestrial planets to gas and ice giants  
83 and from brown dwarfs to hot exoplanets.

84     This paper describes the main scientific goals to be addressed by the fu-  
85 ture *in situ* exploration of Saturn placing the Galileo probe exploration of  
86 Jupiter in a broader context and before the future *in situ* exploration of the  
87 more remote ice giants. These goals will become the primary objectives lis-  
88 ted in the forthcoming Saturn probe proposals that we intent to submit in  
89 response to future opportunities within both ESA and NASA. Section 2 is de-  
90 voted to a comparison between known elemental and isotopic compositions of  
91 Saturn and Jupiter. We describe the different formation scenarios that have  
92 been proposed to explain Jupiter’s composition and discuss the key measu-  
93 rements at Saturn that would allow disentangling these interpretations. We  
94 also demonstrate that the *in situ* measurement of CO (or any other disequili-  
95 brium species that is depleted by reaction with water) at Saturn could place  
96 limits on its bulk O/H ratio. In Section 3, we discuss the motivation for the  
97 *in situ* observation of the atmospheric processes (dynamics, chemistry and

cloud formation) at work in Saturn’s atmosphere. Section 4 is dedicated to a short description of the mission designs that can be envisaged. In Section 5, we provide a description of high-level specifications for the science payload. Conclusions are given in Section 6.

## 2. Elemental and Isotopic Composition as a Window on Saturn’s Formation

The giant planets in the solar system formed 4.55 Gyr ago from the same material that engendered the Sun and the entire solar system. The envelopes of giant planets are dominated by hydrogen and helium, the two most abundant elements in the Universe. Protoplanetary disks, composed of gas and dust, are almost ubiquitous when stars form, but their typical lifetimes do not exceed a few million years. This implies that the gas giants Jupiter and Saturn had to form rapidly to capture their hydrogen and helium envelopes, more rapidly than the tens of millions of years needed for terrestrial planets to reach their present masses (Pollack et al., 1996; Alibert et al., 2005a,b). Due to formation at fairly large radial distances from the Sun, where the solid surface density is low, the ice giants Uranus and Neptune had longer formation timescales (slow growth rates) and did not manage to capture large amounts of hydrogen and helium before the disk gas dissipated (Dodson-Robinson and Bodenheimer, 2010; Helled and Bodenheimer, 2014). As a result, the masses of their gaseous envelopes are small compared to their ice/rock cores.

A comparative study of the properties of these giant planets thus gives information on spatial gradients in the physical/chemical properties of the



122 solar nebula as well as on stochastic effects<sup>1</sup> that led to the formation of the  
 123 solar system. Data on the composition and structure of the giant planets,  
 124 which hold more than 95% of the non-solar mass of the solar system, remain  
 125 scarce, despite the importance of such knowledge. The formation of giant  
 126 planets is now largely thought to have taken place via the core accretion  
 127 model in which a dense core is first formed by accretion and the hydrogen-  
 128 helium envelope is captured after a critical mass is reached (Mizuno, 1980;  
 129 Pollack et al., 1996). When the possibility of planet migration is included  
 130 (Lin and Papaloizou, 1986; Ward, 1997), such a model can explain the orbital  
 131 properties of exoplanets, although lots of unresolved issues remain (Ida and  
 132 Lin, 2004; Mordasini et al., 2012). However, an alternative scenario for the  
 133 formation of giant planets is the disk instability model (Boss, 1997, 2001),  
 134 in which the giant planets form from the direct contraction of a gas clump  
 135 resulting from local gravitational instability in the disk.

136 Formation and evolution models indicate that the total mass of heavy  
 137 elements present in Jupiter may be as high as  $42 M_{\oplus}$ , whereas the mass of  
 138 the core is estimated to range between 0 and  $13 M_{\oplus}$  (Saumon and Guillot,

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1. Although the equations of evolution of the early Solar Sytem are deterministic, they  
 are sensitive to the exact initial conditions. This results in a stochastic-like evolution.  
 Consider for example the collision that induced the large obliquity of Uranus or the one  
 that created the Moon from proto-Earth. In both cases, a large planetesimal or planetary  
 embryo (Earth-mass for Uranus and Mars-mass for the Earth) happened to cross the orbit  
 of the planet and hit it at exactly the right location to get the desired effect. A very  
 slight variation of the impact location would have had a very different output, with a low  
 obliquity for Uranus, or no Moon around the Earth (and thus no evolution of intelligent  
 life on Earth).

139 2004). In the case of Saturn, the mass of heavy elements can be as large as  
 140  $35 M_{\oplus}$  with a mass varying between 0 and  $10 M_{\oplus}$  in the envelope and the  
 141 core mass ranging between 0 and  $20 M_{\oplus}$  (Helled and Guillot, 2013). Direct  
 142 access to heavy materials within giant planet cores to constrain these models  
 143 is impossible, so we must use the composition of the well-mixed troposphere  
 144 to infer the properties of the deep interiors. It is difficult for remote sounding  
 145 to provide the necessary information because of a lack of sensitivity to the  
 146 atmospheric compositions beneath the cloudy, turbulent and chaotic weather  
 147 layer. These questions must be addressed by *in situ* exploration, even if the  
 148 NASA JUNO mission will try to address them remotely.

149 The availability of planetary building blocks (metals, oxides, silicates,  
 150 ices) is expected to vary with position within the original nebula, from re-  
 151 fractories in the warm inner nebula to a variety of ices of water,  $\text{CH}_4$ ,  $\text{CO}$ ,  
 152  $\text{NH}_3$ ,  $\text{N}_2$  and other simple molecules in the cold outer nebula. Turbulent radial  
 153 mixing, and the evolution of the pressure-temperature gradient in the disk  
 154 could have led to distinct regions where some species dominated over others  
 155 (e.g., the water ice snowline or  $\text{N}_2$  over  $\text{NH}_3$ ). Furthermore, both inward and  
 156 outward migration of the giants during their evolution could have provided  
 157 access to different material reservoirs at different epochs. A giant planet's  
 158 bulk composition therefore depends on the timing and location of planet for-  
 159 mation, subsequent migration and the delivery mechanisms for the heavier  
 160 elements. By measuring a giant planet's chemical inventory, and contrasting  
 161 it with measurements of (i) other giant planets, (ii) primitive materials found  
 162 in comets and asteroids, and (iii) the elemental abundances of our parent star  
 163 and the local interstellar medium, we can reveal much about the conditions

164 at work during the formation of our planetary system. Furthermore, measu-  
165 rements of atmospheric bulk elemental enrichments and isotopic ratios would  
166 help us to distinguish between the existing formation scenarios (see Sec. 2.4  
167 for details).

168 It should be noted, however, that when atmospheric measurements are  
169 used to infer the planetary composition and reveal information on the planet’s  
170 origin, one has to *assume* that the atmospheric composition is illustrative  
171 of the composition of the building blocks accreted by the envelope. This  
172 is a fairly good assumption in the case of a gas giant if the measurement  
173 probes a convective region, and if the planet is fully convective. Within a fully  
174 convective planet the materials are expected to be homogeneously mixed,  
175 and therefore, we do not expect large differences in composition with depth.  
176 However, if the planet is not fully convective and homogeneously mixed, the  
177 information of its atmospheric composition cannot solely be used to infer the  
178 bulk composition.

179 In the case of Saturn (as well as Jupiter) compositional inhomogeneities  
180 can be the outcome of the formation process (e.g. Pollack et al., 1996) and/or  
181 the erosion of a primordial core that could mix with the surrounding metallic  
182 hydrogen (Guillot, 2004; Wilson and Militzer, 2011, 2012). In addition, it is  
183 possible that double diffusive convection occurs in the interiors of giant pla-  
184 nets (e.g. Leconte and Chabrier, 2012, 2013). If a molecular weight gradient  
185 is maintained throughout the planetary envelope, double-diffusive convection  
186 would take place, and the thermal structure would be very different from the  
187 one that is generally assumed using adiabatic (i.e., fully convective) models,  
188 with much higher center temperatures and a larger fraction of heavy ele-

ments. In this case, the planetary composition can vary substantially with depth and therefore, a measured composition of the envelope would not represent the overall composition. While standard interior models of Saturn assumed three layers and similar constraints in terms of the helium to hydrogen ratio, they can differ in the assumption on the distribution of heavy elements within the planetary envelope. While Guillot and collaborators (e.g. [Saumon and Guillot, 2004](#); [Helled and Guillot, 2013](#)) assume homogeneous distribution of heavy elements apart from helium, which is depleted in the outer envelope due to helium rain<sup>2</sup>, interior structure models by [Nettelmann and collaborators \(Fortney and Nettelmann, 2010; Nettelmann et al., 2013\)](#) allow the abundance of heavy elements to be discontinuous between the molecular and the metallic envelope. At present, it is not clear whether there should be a discontinuity in the composition of heavy elements, and this question remains open.

### 2.1. *Jupiter and Saturn's Composition*

The abundances and isotopic ratios of most significant volatiles measured at Jupiter and Saturn are given in Tables 1 and 2. We refer the reader to the papers of [Atreya et al. \(2003\)](#), [Teanby et al. \(2006\)](#) and [Fletcher et al. \(2012\)](#) for a more exhaustive list of disequilibrium species identified (or for other minor species presumably identified) in Jupiter's and Saturn's atmospheres. Only upper limits on the abundances of hydrogen halides have been derived

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2. A process that is due to helium immiscibility in hydrogen. In this case, helium droplets nucleate from the supersaturated mixture and fall under the influence of gravity, despite the convection in the envelope ([Stevenson and Salpeter, 1977a,b](#)).

210 from the remote detection of these species in Saturn’s atmosphere, implying  
211 the need of a probe to get improved *in situ* measurements.

212 The abundances of CH<sub>4</sub>, NH<sub>3</sub>, H<sub>2</sub>O, H<sub>2</sub>S, Ne, Ar, Kr and Xe have been  
213 measured by the Galileo Probe Mass Spectrometer (GPMS) in Jupiter’s at-  
214 mosphere (Mahaffy et al., 2000; Wong et al., 2004). The value of H<sub>2</sub>O abun-  
215 dance reported for Jupiter in Table 1 corresponds to the deepest measurement  
216 made by the probe (at 17.6–20.9 bar) and is probably much smaller than the  
217 planet’s bulk water abundance, which remains unknown (Atreya et al., 2003;  
218 Wong et al., 2004). The Juno mission, which will arrive at Jupiter in 2016,  
219 may provide an estimate of the tropospheric O/H ratio. The He abundance  
220 in Jupiter has also been measured *in situ* by a Jamin-Mascart interferome-  
221 ter aboard the Galileo probe (Helium Abundance Detector; hereafter HAD)  
222 with a better accuracy level than the GPMS instrument (von Zahn et al.,  
223 1998). PH<sub>3</sub> is the only species of our list of Jupiter measurements whose  
224 abundance has been determined remotely by the Cassini Composite Infrared  
225 Spectrometer (CIRS) during the spacecraft 2000–2001 encounter (Fletcher et  
226 al., 2009a). PH<sub>3</sub> is a disequilibrium species at its sampling level in Jupiter’s  
227 atmosphere (see Sec. 3). However, because i) it is the dominating P-bearing  
228 species at the quench level (Fegley and Prinn, 1985) and ii) its destruction  
229 rate is inhibited at low temperature, the measured PH<sub>3</sub> value, if correct, must  
230 be close to the bulk P abundance. Isotopic measurements presented for Ju-  
231 piter in Table 2 have also been performed by the GPMS instrument aboard  
232 the Galileo probe (Niemann et al., 1996, 1998; Mahaffy et al., 2000; Atreya  
233 et al., 2003; Wong et al., 2004).

234 In the case of Saturn, only the abundances of CH<sub>4</sub>, PH<sub>3</sub>, NH<sub>3</sub>, H<sub>2</sub>O, and

indirectly that of  $\text{H}_2\text{S}$ , have been measured. The abundance of  $\text{CH}_4$  has been  
 determined from the analysis of high spectral resolution observations from  
 CIRS (Fletcher et al., 2009b). Similarly to Jupiter,  $\text{PH}_3$  has been determined  
 remotely in Saturn from Cassini/CIRS observations at  $10\ \mu\text{m}$  (Fletcher et  
 al., 2009a). Other measurements of  $\text{PH}_3$  have been made from ground based  
 observations at  $5\ \mu\text{m}$  (de Graauw et al., 1997), but the spectral line data at  
 these wavelengths is less robust and accurate than those at  $10\ \mu\text{m}$ . There  
 is also a degeneracy with the location, extent, opacity of Saturn’s clouds at  
 $5\ \mu\text{m}$  which is not apparent at  $10\ \mu\text{m}$ . Moreover, considering the fact that  
 there is also terrestrial contamination in the  $5\ \mu\text{m}$  window for groundbased  
 observations and that the scattered sunlight may contribute at  $5\ \mu\text{m}$ , this  
 leads us to believe that the data at  $10\ \mu\text{m}$  are more reliable. Interestingly,  
 we note that  $\text{PH}_3$  is easier to detect on Saturn compared to Jupiter because  
 this molecule dominates the upper tropospheric chemistry and ammonia is  
 locked away at deeper levels. The  $\text{NH}_3$  abundance corresponds to the hi-  
 ghest/deepest value derived by Fletcher et al. (2011) who analyzed Saturn’s  
 tropospheric composition from Cassini/VIMS  $4.6\text{--}5.1\ \mu\text{m}$  thermal emission  
 spectroscopy. This determination is probably more reliable than those made  
 in the microwave domain because of the absence of spectral lines at these  
 wavelengths (Briggs and Sackett, 1989; Laraia et al., 2013). Tropospheric  
 $\text{H}_2\text{O}$  has been inferred in Saturn via the Short Wavelength Spectrometer  
 Instrument onboard the Infrared Space Observatory (ISO-SWS) (de Graauw  
 et al., 1997). However,  $\text{H}_2\text{O}$  is unsaturated at this altitude ( $\sim 3$  bar level),  
 implying that its bulk abundance is higher than the measured one. The  $\text{H}_2\text{S}$   
 abundance is quoted from the indirect determination of Briggs and Sackett

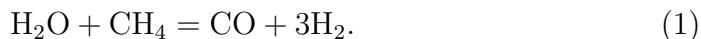
(1989) who investigated the influence of models of  $\text{NH}_3\text{-H}_2\text{S-H}_2\text{O}$  cloud decks on Saturn’s atmospheric opacity at microwave wavelengths. The He abundance in Saturn’s atmosphere derives from a reanalysis of Voyager’s infrared spectrometer (IRIS) measurements (Conrath and Gautier, 2000). The only isotopic ratios measured in Saturn are D/H in  $\text{H}_2$  (determination from ISO-SWS, Lellouch et al., 2001) and  $^{12}\text{C}/^{13}\text{C}$  in  $\text{CH}_4$  (Cassini/CIRS observations, Fletcher et al., 2009b).

Table 3 summarizes the enrichments in volatiles relative to protosolar values observed in Jupiter and Saturn. Note that protosolar abundances are different from present-day solar photospheric abundances because elements heavier than He are settling out of the photosphere over time. This mechanism leads to a fractionation of heavy elements relative to hydrogen in the solar photosphere, requiring the use of correction terms to retrieve the protosolar abundances (Lodders et al., 2009). For the sake of information, the protosolar elemental abundances used in our calculations are detailed in Table 4. C, N, P, S, Ar, Kr and Xe are all found enriched by a factor  $\sim 2$  to 4 in Jupiter. On the other hand, C, N and P (the only heavy elements *a priori* reliably measured) are found enriched by factors of  $\sim 10$ , 0.5–5 and 11.5 in Saturn. Helium is depleted compared to protosolar values in the two giants because of its condensation into droplets that “rain out” in the giant planets deep interiors (Stevenson and Salpeter, 1977a,b; Fortney and Hubbard, 2003). The solution of neon in those droplets (Wilson and Militzer, 2010) would also explain its apparent depletion in Jupiter but a similar measurement has never been possible on Saturn. As mentioned above, oxygen is also depleted compared to protosolar in the Jovian atmosphere but this measure-

ment results from the fact that the Galileo probe entry site was an unusually dry meteorological system. As a result, the probe did not measure the deep, well-mixed water mixing ratio (Wong et al., 2004), which is predicted to be supersolar (Stevenson and Lunine, 1988; Gautier et al., 2001; Hersant et al., 2004; Alibert et al., 2005a; Mousis et al., 2009, 2012).

## 2.2. Indirect Determination of Saturn’s O/H Ratio

One of the main objectives of Saturn’s *in situ* exploration is the measurement of the H<sub>2</sub>O abundance. However, depending on the O/H elemental enrichment (Atreya et al., 1999), H<sub>2</sub>O is predicted to condense in the 12.6–21 bar range and may remain out of reach for the probe we consider in this paper that would be limited to ~10 bar (see Sec. 4). Several disequilibrium species, like CO, can provide useful constraints on Saturn’s deep H<sub>2</sub>O abundance. The upper tropospheric mole fraction of CO is representative of the H<sub>2</sub>O abundance in the deep hot troposphere, where the two species are linked by the thermochemical equilibrium reaction (Fegley and Lodders, 1994) :



It is thus possible to derive the deep H<sub>2</sub>O abundance from CO observations using the “quench level” approximation (e.g., Bézard et al. 2002), or more rigorously using comprehensive thermochemical models (e.g., Visscher et al. 2010 and Cavalié et al. 2014).

We have adapted the model of Venot et al. (2012) to Saturn’s troposphere to assess the relevance of measuring CO with an *in situ* probe. The thermochemical kinetic network comes from the engine industry and was thoroughly



307 validated for high temperatures and pressures. The tropospheric thermal pro-  
 308 file has been constructed from a recent retrieval of the latitudinally-resolved  
 309  $T(P)$  structure representing a mean of Cassini's prime mission (Fletcher et  
 310 al., 2009b). We used the nominal mixing ratios from Table 1 for He and CH<sub>4</sub>,  
 311 and adopted an upper limit of  $10^{-9}$  for CO (Cavalié et al., 2009). We have  
 312 assumed a vertically constant eddy mixing coefficient  $K_{zz}$  ranging from  $10^8$   
 313 to  $10^9 \text{ cm}^2 \cdot \text{s}^{-1}$  (Visscher et al., 2010). With  $K_{zz}=10^8 \text{ cm}^2 \cdot \text{s}^{-1}$ , the deep at-  
 314 mospheric O/H ratio needs to be 62 times the protosolar value to reproduce  
 315 the CO upper limit. With  $K_{zz}=10^9 \text{ cm}^2 \cdot \text{s}^{-1}$ , the O/H still needs to be 18  
 316 times protosolar (see Fig. 1), i.e., still much higher than Saturn's C/H ratio  
 317 (9.9 times protosolar) but remains within the range of values predicted from  
 318 the theory arguing that volatiles formed clathrates and pure condensates in  
 319 the nebula (see Sec. 2.3.2). If we reversely set O/H ratio to the C/H one,  
 320 then the most favorable case for a detection of CO ( $K_{zz}=10^9 \text{ cm}^2 \cdot \text{s}^{-1}$ ) gives  
 321 an upper tropospheric mole fraction of CO of  $4.1 \times 10^{-10}$ . Reaching such a  
 322 low value will remain very challenging for any ground-based facility. Besides,  
 323 a complication comes from the fact that the observable CO vertical profile is  
 324 largely dominated by an external source in the stratosphere (Cavalié et al.,  
 325 2010).

326 These results argue in favor of an *in situ* measurement of tropospheric CO  
 327 with a neutral mass spectrometer as a valuable complement to any attempt  
 328 to directly measure the H<sub>2</sub>O abundance. However, CO has a molecular weight  
 329 very close to that of N<sub>2</sub>. This degeneracy is a serious issue because the N<sub>2</sub>  
 330 upper tropospheric mole fraction is expected to be around four orders of  
 331 magnitude higher than the one of CO. A mass spectrometer will therefore

332 need a mass resolution of  $m/\Delta m = 2,500$  to separate CO from N<sub>2</sub> at equal  
 333 abundance, and about  $m/\Delta m = 15,000$  for the CO and N<sub>2</sub> abundances  
 334 expected in Saturn’s atmosphere. More generally, any other disequilibrium  
 335 species that reacts with H<sub>2</sub>O, like PH<sub>3</sub> and SiH<sub>4</sub>, is likely to provide additional  
 336 constraints on the deep H<sub>2</sub>O abundance of Saturn (Visscher and Fegley, 2005)  
 337 and it would be desirable to include the combustion reaction schemes of such  
 338 species (e.g., Twarowski 1995 and Miller 2004) in thermochemical models.

### 339 2.3. Isotopic Measurements at Saturn

340 As shown in Table 2, very little is known today concerning the isotopic  
 341 ratios in Saturn’s atmosphere. Only D/H (for H<sub>2</sub> and methane) and <sup>12</sup>C/<sup>13</sup>C  
 342 (for methane) ratios have been measured so far (Lellouch et al., 2001; Bézard  
 343 et al., 2003; Fletcher et al., 2009b).

344 The case of D/H is interesting and would deserve further measurements  
 345 with smaller errors. Because deuterium is destroyed in stellar interiors and  
 346 transformed into <sup>3</sup>He, the D/H value presently measured in Jupiter’s atmos-  
 347 phere is estimated to be larger by some 5–10% than the protosolar value. This  
 348 slight enrichment would have resulted from a mixing of nebular gas with  
 349 deuterium-rich ices during the planet’s formation, as suggested by Guillot  
 350 (1999). For Saturn, the contribution of deuterium-rich ices in the present  
 351 D/H ratio could be higher (25–40%). An accurate measurement of the D/H  
 352 ratio in Saturn’s atmosphere could provide, consequently, some constraints  
 353 on the relative contribution of deuterium-rich ices during the formation of  
 354 Saturn. Such a constraint is also based on the *a priori* knowledge of the  
 355 protosolar D/H ratio, which remains relatively uncertain. This ratio is esti-  
 356 mated from measurements of <sup>3</sup>He/<sup>4</sup>He in the solar wind, which is corrected

357 for changes that occurred in the solar corona and chromosphere subsequently  
 358 to the evolution of the Sun's interior, and to which the primordial  $^3\text{He}/^4\text{He}$  is  
 359 subtracted. This latter value is estimated from the ratio observed in meteo-  
 360 rites or in Jupiter's atmosphere. The measurement of  $^3\text{He}/^4\text{He}$  in Saturn's at-  
 361 mosphere would also complement, consequently, the scientific impact of D/H  
 362 measurement. In any case the smaller value of D/H measured by [Lellouch](#)  
 363 [et al. \(2001\)](#) in Saturn's atmosphere from infrared spectra obtained by the  
 364 Infrared Space Observatory (ISO) satellite and the Short Wavelength Spec-  
 365 trometer (SWS) compared to Jupiter's atmosphere ([Niemann et al., 1998](#)) is  
 366 surprising in the sense that it would suggest a lower relative contribution of  
 367 deuterium-rich ices in the formation of Saturn compared to Jupiter. These  
 368 values have, nevertheless, large errors and so far no clear conclusion can be  
 369 drawn.

370 The  $^{14}\text{N}/^{15}\text{N}$  ratio presents large variations in the different planetary bo-  
 371 dies in which it has been measured and, consequently, remains difficult to  
 372 interpret. The analysis of Genesis solar wind samples ([Marty et al., 2011](#))  
 373 suggests a  $^{14}\text{N}/^{15}\text{N}$  ratio of  $441 \pm 5$ , which agrees with the *in situ* measure-  
 374 ments made in Jupiter's atmospheric ammonia ([Fouchet et al., 2000, 2004](#))

375 which probably comes from primordial  $\text{N}_2$ <sup>3</sup>. Terrestrial atmospheric  $\text{N}_2$ , with  
 376 a value of 272, appears enriched in  $^{15}\text{N}$  compared to Jupiter and similar to  
 377 the bulk of ratios derived from the analysis of comet 81P/ Wild 2 grains  
 378 (McKeegan et al., 2006). Measurements performed in Titan’s atmosphere,  
 379 which is dominated by  $\text{N}_2$  molecules, lead to  $167.7 \pm 0.6$  and  $147.5 \pm 7.5$  from  
 380 the Cassini/INMS and Huygens/GCMS data, respectively (Niemann et al.,  
 381 2010; Mandt et al., 2009). Because of the low abundance of primordial Ar  
 382 observed by Huygens, it is generally assumed that  $\text{N}_2$  is of secondary origin  
 383 in Titan’s atmosphere and that N was delivered in a less volatile form, pro-  
 384 bably  $\text{NH}_3$ . Different mechanisms have been proposed for the conversion of  
 385  $\text{NH}_3$  to  $\text{N}_2$ . Isotopic fractionation may have occurred for nitrogen in Titan’s  
 386 atmosphere but the atmospheric model published by Mandt et al. (2009)  
 387 suggests that the current  $^{14}\text{N}/^{15}\text{N}$  ratio observed in  $\text{N}_2$  is close to the value  
 388 acquired by the primordial ammonia of Titan. This statement is supported  
 389 by the recent measurement of the  $^{14}\text{N}/^{15}\text{N}$  isotopic ratio in cometary am-  
 390 monia (Rousselot et al., 2014). This ratio, comprised between 80 and 190, is  
 391 consistent with the one measured in Titan.

392 All these measurements suggest that  $\text{N}_2$  and  $\text{NH}_3$  result from the separa-

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3. Thermochemical models predict the inhibition of the conversion of  $\text{N}_2$  into  $\text{NH}_3$  in  
 the protosolar nebula, implying that  $\text{N}_2$  was the main nitrogen-bearing molecule (Lewis  
 and Prinn, 1980; Mousis et al., 2002). Moreover, the  $^{14}\text{N}/^{15}\text{N}$  ratio in the solar wind has  
 found identical to the value measured by the Galileo probe in Jupiter, indicating that  
 the protosolar nitrogen present in the nebula also shared the same value (Marty et al.,  
 2011). The fact that Jupiter accreted primordial  $\text{N}_2$  is also found consistent with the other  
 measurements of nitrogen isotopes in the solar system (Owen et al., 2001).

tion of nitrogen into at least two distinct reservoirs, with a distinct  $^{15}\text{N}$  enrichment, which never equilibrated. The reservoir containing  $\text{N}_2$  would have a large  $^{14}\text{N}/^{15}\text{N}$  ratio (like in Jupiter’s atmosphere, where the present ammonia is supposed to come from primordial  $\text{N}_2$ ) and the one containing  $\text{NH}_3$  a much lower value (like in Titan’s atmosphere, where the present  $\text{N}_2$  could come from primordial ammonia, and in cometary ammonia). In this context measuring  $^{14}\text{N}/^{15}\text{N}$  in Saturn’s atmosphere would be very helpful to get more information about the origin of ammonia in this planet.

The cases of carbon, oxygen and noble gas (Ne, Ar, Kr, and Xe) isotopic ratios are different because they should be representative of their primordial values. Only little variations are observed for the  $^{12}\text{C}/^{13}\text{C}$  ratio in the solar system irrespective of the body and molecule in which it has been measured. This ratio appears compatible with the terrestrial value of 89 (except if isotopic fractionation processes occur, like for methane in Titan, but the influence of these processes on this ratio is small). Table 2 provides the value of 91.8 measured by [Fletcher et al. \(2009b\)](#) in Saturn with the Cassini/CIRS but with large error bars. A new *in situ* measurement of this ratio should be useful to confirm that carbon in Saturn is also representative of the protosolar value (and different from the one present in the local Interstellar Medium (ISM) because  $^{13}\text{C}$  is created in stars). The oxygen isotopic ratios also constitute interesting measurements to be made in Saturn’s atmosphere. The terrestrial  $^{16}\text{O}/^{18}\text{O}$  and  $^{16}\text{O}/^{17}\text{O}$  isotopic ratios are 499 and 2632, respectively ([Asplund et al., 2009](#)). At the high accuracy levels possible with meteorites analysis these ratios present some small variations<sup>4</sup>. Measurements performed for so-

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4. Expressed in  $\delta$  units, which are deviations in part per thousand, they are typically

lar system objects like comets, far less accurate, match the terrestrial  $^{16}\text{O}/^{18}\text{O}$  value (with error bars being typically a few tens). However no  $^{16}\text{O}/^{18}\text{O}$  ratio has been yet published for Saturn’s atmosphere. The only  $^{16}\text{O}/^{18}\text{O}$  measurement made so far for a giant planet (Noll et al., 1995) was obtained from groundbased IR observations in Jupiter’s atmosphere and had a very large uncertainty (1–3 times the terrestrial value).

#### 2.4. Interpretations of the Volatile Enrichments in Jupiter and Saturn

Several theories connecting the thermodynamic evolution of the protosolar nebula to the formation conditions of the giant planets have been developed to interpret the volatile enrichments measured in Jupiter and Saturn. The main scenarios proposed in the literature and their predictions for Saturn’s composition are summarized below.

##### 2.4.1. Amorphous Ice Scenario

The model proposed by Owen et al. (1999) is the first attempt to explain the volatile enrichments measured in Jupiter’s atmosphere. In this scenario, the basic assumption is that volatiles present in Jupiter’s atmosphere were trapped in amorphous ice in the protosolar nebula. In this model, amorphous ices originated from ISM and survived the formation of the protosolar nebula. This is the fraction of the icy planetesimals that vaporized when entering the envelope of the growing Jupiter, which engendered the observed volatile enrichments. If correct, this scenario predicts that the volatiles (O, C, N, S, Ar, Kr and Xe) should be enriched by a similar factor in Saturn’s atmosphere,

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a few units.

439 as seems to be the case for Jupiter, given the size of the error bars of mea-  
 440 surements. In this case, comets as well as Kuiper Belt Objects, would have  
 441 also been accreted from amorphous ice.

#### 442 2.4.2. *Crystalline Ice Scenario*

443 An alternative interpretation of the volatile enrichments measured in Ju-  
 444 piter is the one proposed by [Gautier et al. \(2001\)](#) and subsequent papers by  
 445 [Hersant et al. \(2004\)](#), [Gautier and Hersant \(2005\)](#), [Alibert et al. \(2005a\)](#) and  
 446 [Mousis et al. \(2006\)](#). This interpretation is based on the analysis made by  
 447 [Kouchi et al. \(1994\)](#), which shows that water condenses in the form of crys-  
 448 talline ice at  $\sim 150$  K in the conditions occurring in the protosolar nebula.  
 449 In this scenario, water vapor crystallized and trapped the volatiles in the  
 450 form of clathrates or hydrates (case of  $\text{NH}_3$ ) in the 40–90 K range instead of  
 451 condensing at lower temperatures. The case of  $\text{CO}_2$  is specific because this  
 452 species condenses at relatively high temperature. All ices then agglomerated  
 453 and formed the planetesimals that were ultimately accreted by the growing  
 454 Jupiter. However, the theory of the trapping by clathration is subtle since it  
 455 occurs in a cooling nebula and consumes water ice. Once ice is consumed, cla-  
 456 thration stops. Aforementioned works postulate that the amount of available  
 457 crystalline water ice was large enough (typically  $\text{H}_2\text{O}/\text{H}_2 \geq 2 \times (\text{O}/\text{H})_\odot$ )  
 458 to trap the other volatiles in the feeding zone of Jupiter and that the disk’s  
 459 temperature at which the ices formed never decreased below  $\sim 40$  K. The  
 460 volatile enrichments in Jupiter can also be explained via the accretion and  
 461 the vaporization in its envelope of icy planetesimals made from a mixture of  
 462 clathrates and pure condensates ([Mousis et al., 2009, 2012](#)). These planete-  
 463 simals could have formed if the initial disk’s gas phase composition was fully

464 protosolar (including oxygen), and if the disk's temperature decreased down  
 465 to  $\sim 20$  K at their formation location. In all these scenarios, the building  
 466 blocks of giant planets, their satellite systems, comets and Kuiper Belt Ob-  
 467 jects would have been agglomerated from a mixture of clathrates, hydrates  
 468 and pure condensates with proportions determined from i) the abundance of  
 469 crystalline ice available at the trapping epoch of volatiles and ii) the lowest  
 470 temperature reached by the cooling protosolar nebula prior to its dissipation.

471 The model described in [Mousis et al. \(2009, 2012\)](#) is used here to show fits  
 472 of the volatile enrichments measured at Jupiter and Saturn, which have been  
 473 updated by using the recent protosolar abundances of [Lodders et al. \(2009\)](#)  
 474 (see Table 3). This model is used to compute the composition of plane-  
 475 tesimals condensed from two extreme gas phase compositions of the nebula,  
 476 namely oxidizing (composition usually assumed for the protosolar nebula)  
 477 and reducing states (see [Johnson et al. \(2012\)](#) for a full description of the  
 478 used disk's gas phase compositions). Planetesimals formed during the cooling  
 479 of the nebula from these two extreme gas phase compositions are assumed to  
 480 have been accreted by proto-Jupiter and proto-Saturn and devolatilized in  
 481 the envelopes during their growth phases. Once the composition of the plane-  
 482 tesimals is defined, the adjustment of their masses accreted in the envelopes  
 483 of Jupiter and Saturn allows one to determine the best fit of the observed  
 484 volatile enrichments. In the two cases, the abundance of available crystalline  
 485 water is derived from protosolar O and the disk is assumed to cool down to  
 486  $\sim 20$  K.

487 Figures 2 and 3 represent the fits of the enrichments observed in Jupiter's  
 488 and Saturn's atmospheres, respectively. In the case of Jupiter, C, N, S, Ar and



489 Kr measurements are matched by our fits, irrespective of the redox status of  
490 the protosolar nebula. Also, in both redox cases, the measured P abundance  
491 is not matched by the fits but this might be due to the difficulty of getting  
492 a reliable measurement since the mid-infrared spectrum is dominated by  
493 tropospheric ammonia. On the other hand, Xe is almost matched by our fit  
494 in the reducing case only. The oxygen abundance is predicted to be 5.3–5.7  
495 and 6.2–7.8 times protosolar in Jupiter in the oxidizing and reducing cases,  
496 respectively.

497 In the case of Saturn, the strategy was to fit the measured C enrichment.  
498 Interestingly, contrary to Jupiter, P is matched in Saturn, irrespective of the  
499 redox status of the nebula. On the other hand, the P determination is more  
500 robust in Saturn than in Jupiter because  $\text{PH}_3$  dominates the mid-infrared  
501 spectrum. However, S is not matched by our model but this might result  
502 from the lack of reliability of its determination. In addition, with enrichments  
503 predicted to be  $\sim 5.7$ – $7.1$  times and  $11.1$ – $13.6$  times the protosolar value in  
504 the oxidizing and reducing cases, respectively, our model overestimates the  
505 amount of nitrogen present in Saturn’s atmosphere compared to observations  
506 that suggest a more moderate enrichment, in the order of  $\sim 1.7$ – $3.9$  times the  
507 protosolar value. One possibility that could explain this discrepancy is that  
508 all  $\text{NH}_3$  and only a fraction of  $\text{N}_2$ , this latter being the most abundant N–  
509 bearing volatile in the protosolar nebula ([Lewis and Prinn, 1980](#)), would  
510 have been incorporated in Saturn’s building blocks because of the limited  
511 amount of available water favoring its trapping efficiency in clathrates. The  
512 remaining fraction of  $\text{N}_2$  would have remained in the  $\text{H}_2$ -dominated gas phase  
513 of Saturn’s feeding zone as a result of the disk’s cooling down to temperatures

514 higher than that of  $\text{N}_2$  condensation or trapping in clathrates, as proposed by  
 515 [Hersant et al. \(2008\)](#). These conditions could lead to a moderate N enrichment  
 516 comparable to the measured one and to a  $^{14}\text{N}/^{15}\text{N}$  ratio in the envelope lower  
 517 than the Jovian value. In this case, the abundances of Ar and Kr would  
 518 remain protosolar because the disk never cooled down enough to enable the  
 519 condensation of these two species. In contrast, because the disk is assumed to  
 520 cool down to very low temperatures at Saturn’s formation location, our model  
 521 predicts Ar, Kr and Xe enrichments in the two redox cases. In addition, the  
 522 oxygen abundance is predicted to be 14.3–17.6 and 17–20.9 times protosolar  
 523 in the oxidizing and reducing cases, respectively.

#### 524 *2.4.3. Scenario of Supply of Refractory Carbonated Material*

525 [Lodders \(2004\)](#) proposed the formation of Jupiter from refractory carbo-  
 526 nated materials, namely “tar”, placing its formation location on a “tar line”  
 527 in the protosolar nebula. This scenario was used to explain the elemental  
 528 abundances enrichments observed by Galileo after having normalized all the  
 529 heavy elements abundances with respect to Si instead of  $\text{H}_2$ . By doing so,  
 530 [Lodders \(2004\)](#) found that the relative abundances of Ar, Kr, Xe and P are  
 531 solar, C and possibly N are enriched, and H, He, Ne, and O are subsolar,  
 532 with the Galileo  $\text{H}_2\text{O}$  determination assumed to be representative of the pla-  
 533 net’s bulk O/H. In this model, Ar, Kr and Xe would have been supplied to  
 534 Jupiter via direct gravitational capture of the solar nebula gas. To explain  
 535 the Ar, Kr and Xe enrichments in the Jovian atmosphere, [Lodders \(2004\)](#)  
 536 proposed that they would have been the consequence of the  $\text{H}_2$  and He de-  
 537 pletion in the envelope, which produced the metallic layer. If Saturn formed  
 538 following this scenario, a useful test would be the determination of the  $\text{H}_2\text{O}$

539 bulk abundance, which should be subsolar, as proposed by [Lodders \(2004\)](#)  
540 for Jupiter.

#### 541 *2.4.4. Scenario of Disk's Gas Phase Enrichment*

542 To account for the enrichments in heavy noble gases observed in Jupiter's  
543 atmosphere, [Guillot and Hueso \(2006\)](#) proposed that Ar, Kr and Xe have  
544 condensed at  $\sim 20\text{--}30$  K onto the icy amorphous grains that settled in the cold  
545 outer part of the disk nebula midplane. These noble gases would have been  
546 released in gaseous form in the formation region of giant planets at a time  
547 when the disk would have been chemically evolved due to photoevaporation.  
548 The combination of these mechanisms would have led to a heavy noble gas  
549 enrichment relative to protosolar in the disk's gas phase from which the giant  
550 planets would have been accreted. In [Guillot and Hueso \(2006\)](#)'s scenario,  
551 the noble gas enrichment would have been homogeneous in the giant planets  
552 formation region. Therefore, their model predicts that the Ar, Kr and Xe  
553 enrichments in Saturn's atmosphere are similar to those observed in Jupiter,  
554 which are between  $\sim 1.5$  and 3.3 times the protosolar value (see Table 3).  
555 These values are substantially smaller than those predicted by the model used  
556 in Sec. [2.4.2](#), which are in the  $\sim 4.6\text{--}14.3$  times protosolar range, depending  
557 on the considered species (see Fig. [3](#)).

#### 558 *2.5. Summary of Key Measurements*

559 Here we provide the measurements in Saturn's atmosphere achievable  
560 down to the 10 bars limit and that would allow disentangling between the  
561 afore-mentioned giant planets formation scenarios :

- 562 — The atmospheric fraction of  $\text{He}/\text{H}_2$  with a 2% accuracy on the mea-  
563 surement (same accuracy as the one made by the Jamin-Mascart in-  
564 terferometer aboard Galileo).
- 565 — The elemental enrichments in cosmogenically abundant species C, N  
566 and S.  $\text{C}/\text{H}$ ,  $\text{N}/\text{H}$  and  $\text{S}/\text{H}$  should be sampled with an accuracy better  
567 than  $\pm 10\%$  (uncertainties of the order of protosolar abundances).
- 568 — The elemental enrichments in minor species delivered by vertical mixing  
569 (e.g., P, As, Ge) from the deeper troposphere (see also Sec. 3).  $\text{P}/\text{H}$ ,  
570  $\text{As}/\text{H}$  and  $\text{Ge}/\text{H}$  should be sampled with an accuracy better than  $\pm$   
571  $10\%$  (uncertainties of the order of protosolar abundances).
- 572 — The isotopic ratios in hydrogen ( $\text{D}/\text{H}$ ), oxygen ( $^{18}\text{O}$ ,  $^{17}\text{O}$  and  $^{16}\text{O}$ ),  
573 carbon ( $^{13}\text{C}/^{12}\text{C}$ ) and nitrogen ( $^{15}\text{N}/^{14}\text{N}$ ), to determine the key re-  
574 servoirs for these species (e.g., delivery as  $\text{N}_2$  or  $\text{NH}_3$  vastly alters  
575 the  $^{15}\text{N}/^{14}\text{N}$  ratio in the giant planet's envelope).  $^{13}\text{C}/^{12}\text{C}$ ,  $^{18}\text{O}/^{16}\text{O}$   
576 and  $^{17}\text{O}/^{16}\text{O}$  should be sampled with an accuracy better than  $\pm 1\%$ .  
577  $\text{D}/\text{H}$ ,  $^{15}\text{N}/^{14}\text{N}$  should be analyzed in the main host molecules with an  
578 accuracy of the order of  $\pm 5\%$ .
- 579 — The abundances and isotopic ratios for the chemically inert noble gases  
580 He, Ne, Xe, Kr and Ar, provide excellent tracers for the materials in  
581 the subreservoirs existing in the protosolar nebula. The isotopic ratios  
582 for He, Ne, Xe, Kr and Ar should be measured with an accuracy better  
583 than  $\pm 1\%$ .

584 The depth of probe penetration will determine whether it can access the  
585 well-mixed regions for key condensable volatiles. In the case of Saturn, a shal-  
586 low probe penetrating down to  $\sim 10$  bar would *in principle* sample ammonia

587 and  $\text{H}_2\text{S}$  both within and below their cloud bases, in the well-mixed regions  
 588 of the atmosphere to determine the N/H and S/H ratios, in addition to noble  
 589 gases and isotopic ratios. Note that the N determination could be a lower li-  
 590 mit because ammonia is highly soluble in liquid water. Rain generated in the  
 591 water cloud can provide a downward transport mechanism for ammonia, so  
 592 the ammonia abundance above the water cloud could be less than the bulk  
 593 abundance. Because the hypothesized water cloud is deeper than at least  
 594  $\sim 12.6$  bar in Saturn (Atreya et al., 1999), the prospect of reaching the deep  
 595 O/H ratio remains unlikely if the probe would not survive beyond its design  
 596 limit, unless a precise determination of the CO abundance (or any other spe-  
 597 cies limited by reactions with the tropospheric water) is used to constrain  
 598  $\text{H}_2\text{O}/\text{H}_2$  (see Sec. 2.2) and/or the probe is accompanied by remote sensing  
 599 experiments on a carrier spacecraft capable of probing these depths (e.g.,  
 600 the Juno microwave radiometer, currently en route to Jupiter). Nevertheless,  
 601 measuring elemental abundances (in particular He, noble gases and other  
 602 cosmogenically-common species) and isotopic ratios using a shallow entry  
 603 probe on Saturn will provide a vital comparison to Galileo’s measurements  
 604 of Jupiter, and a crucial “ground-truth” for the remote sensing investigations  
 605 by the Cassini spacecraft.

### 606 **3. *In situ* Studies of Saturn’s Atmospheric Phenomena**

607 The giant planets are natural planetary-scale laboratories for the study  
 608 of fluid dynamics without the complicating influences of terrestrial topogra-  
 609 phy or ocean-atmosphere coupling. However, remote sensing only provides  
 610 access to limited altitude ranges where spectral lines are formed and broad-

611 ned, typically from the cloud-forming weather layer upwards into the middle  
 612 atmosphere, although deep-sounding at microwave wavelengths can probe  
 613 through the upper cloud decks. Furthermore, the vertical resolution of “na-  
 614 dir” remote sensing is fundamentally limited to the width of the contribution  
 615 function (i.e., the range of altitudes contributing to the upwelling radiance  
 616 at a given wavelength), which can extend over one or more scale heights.  
 617 Ground-based observatories, space telescopes and the visiting Pioneer, Voya-  
 618 ger and Cassini missions have exploited wavelengths from the ultraviolet to  
 619 the microwave in an attempt to reconstruct Saturn’s atmospheric structure  
 620 in three dimensions. These studies have a limited vertical resolution and prin-  
 621 cipally use visible and infrared observations in the upper troposphere (just  
 622 above the condensate clouds and within the tropospheric hazes) or the mid-  
 623 stratosphere near the 1 mbar level via mid-infrared emissions. Regions below  
 624 the top-most clouds and in the middle/upper atmosphere are largely inac-  
 625 cessible to remote sensing, limiting our knowledge of the vertical variations  
 626 of temperatures, densities, horizontal and vertical winds and waves, compo-  
 627 sitional profiles and cloud/haze properties. Nevertheless, remote sensing has  
 628 proven invaluable in determining the horizontal and temporal variability of  
 629 Saturn’s temperatures, winds, composition and cloud properties, providing  
 630 the global context that will prove essential in interpreting probe results, as  
 631 they did for the Galileo probe. *In situ* exploration of Saturn would not only  
 632 help constrain the bulk chemical composition of this gas giant (e.g., Section  
 633 2), but it would also provide direct sampling and “ground-truth” for the  
 634 myriad physical and chemical processes at work in Saturn’s atmosphere.

635 In the following sections we describe how an *in situ* probe, penetrating

636 from the upper atmosphere ( $\mu$ bar pressures) into the convective weather layer  
 637 to a minimum depth of 10 bar, would contribute to our knowledge of Saturn's  
 638 atmospheric structure, dynamics, composition, chemistry and cloud-forming  
 639 processes. These results would be directly compared to our only other di-  
 640 rect measurement of a giant planet, from the descent of the 339-kg Galileo  
 641 probe into the atmosphere of Jupiter on December 7th 1995. The Galileo  
 642 probe entered a region of unusual atmospheric dynamics near  $6.5^\circ\text{N}$ , where  
 643 it is thought that the meteorology associated with planetary wave activity  
 644 conspired to deplete Jupiter's atmosphere in volatiles (e.g., [Showman and](#)  
 645 [Dowling, 2000](#); [Friedson, 1999](#)), most notably preventing the probe from rea-  
 646 ching the depth of Jupiter's well-mixed  $\text{H}_2\text{O}$  layer after its 60-minute descent  
 647 to the 22 bar level, 150 km below the visible cloud-tops. In the decade that  
 648 followed, researchers have been attempting to reconcile global remote sensing  
 649 of Jupiter with this single-point measurement (e.g., [Roos-Serote et al., 2000](#)).  
 650 Along with the GPMS and HAD instruments, the probe carried a net flux  
 651 radiometer for the thermal profile and heat budget (NFR, [Sromovsky et al.,](#)  
 652 [1998](#)); a nephelometer for cloud studies (NEP, [Ragent et al., 1998](#)) and an  
 653 Atmospheric Structure Instrument (ASI, [Seiff et al., 1998](#)) to measure pro-  
 654 files of temperature, pressure and atmospheric density. Measurements of the  
 655 probe's transmitted radio signal (driven by an ultra-stable oscillator) allowed  
 656 a reconstruction of the zonal winds with altitude (Doppler Wind Experiment,  
 657 DWE, [Atkinson et al., 1998](#)), and attenuation of the probe-to-orbiter signal  
 658 also provided information on the microwave opacity due to ammonia absorp-  
 659 tion ([Folkner et al., 1998](#)). Comparable *in situ* data for Saturn, in tandem  
 660 with the wealth of remotely-sensed observations provided by Cassini, would

enable a similar leap in our understanding of the solar system’s second giant planet. Finally, from the perspective of comparative planetology, improving our understanding of Saturn will provide a valuable new context for Galileo probe’s measurements at Jupiter, enhancing our knowledge of this unique class of planets.

### 3.1. Saturn’s Dynamics and Meteorology

Saturn’s atmosphere stands in contrast to Jupiter, with fewer large-scale vortices and a more subdued banded structure in the visible, superimposed onto hemispheric asymmetries in temperatures, cloud cover and gaseous composition as a result of Saturn’s seasonal cycles (unlike Jupiter, Saturn has a considerable axial tilt of  $26^\circ$ ). See [West et al. \(2009\)](#), [Fouchet et al. \(2009\)](#), [Del Genio et al. \(2009\)](#) and [Nagy et al. \(2009\)](#) for detailed reviews. Despite this globally-variable atmosphere in the horizontal, a single entry probe would provide unique insights in the vertical dimension by characterising the changing environmental conditions and dynamical state as it descends from the stably-stratified middle atmosphere to the convectively-unstable troposphere. Although *in situ* probes may seem to provide one-dimensional vertical results, a horizontal dimension is also provided by Doppler tracking of the probe trajectory during its descent, as it is buffeted by Saturn’s powerful jet streams and eddies.

#### 3.1.1. Atmospheric Stability and Transition Zones

A descending probe would primarily measure the vertical stability of the atmosphere, which reveals where the atmosphere transitions from statically-stable (e.g., the stratosphere and upper troposphere) to being unstable to



convective motions (e.g., the cloud-forming region). The *Brunt Väisälä* frequency, or buoyancy frequency, is related to the difference between the measured lapse rate and the dry adiabat, given by :

$$N_B^2 = \frac{g}{T} \left( \frac{dT}{dz} + \frac{g}{C_p} \right) \quad (2)$$

where  $g$  is the gravitational acceleration,  $C_p$  is the specific heat capacity and  $g/C_p$  is the dry adiabatic lapse rate. Positive buoyancy frequencies indicate static stability whereas negative frequencies indicate unstable conditions. This is further encapsulated in the dimensionless Richardson Number ( $Ri$ ), which characterises the dominant modes of instability in an atmospheric flow and measures the importance of the atmospheric stability against vertical shears on the zonal ( $u$ ) and meridional ( $v$ ) winds :

$$Ri = \frac{N_B^2}{\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2} = \frac{\frac{g}{\theta} \left(\frac{\partial \theta}{\partial z}\right)}{\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2} \quad (3)$$

where  $\theta$  is the potential temperature and  $\frac{\partial \theta}{\partial z}$  the static stability. An entry probe can measure continuous profiles of the temperature profile, buoyancy frequency and static stability as a function of altitude, enabling a study of stability and instability regimes as a function of depth and identifying the dominant instability mechanisms via the Richardson number. Temperatures and densities in the upper atmosphere can be determined via the deceleration caused by atmospheric drag, connecting the high temperature thermosphere at nanobar pressures to the middle atmosphere at microbar and millibar pressures (e.g., [Yelle and Miller, 2004](#)). An atmospheric structure instrument would measure atmospheric pressures and temperatures throughout the descent to the clouds, and from these infer atmospheric stability

706 and densities (provided the mean molecular weight is determined by another  
707 instrument; [Seiff et al., 1998](#); [Magalhães et al., 2002](#)). Upper atmospheric  
708 densities would be deduced from measured accelerations and from area and  
709 drag coefficients<sup>5</sup>. The probe will sample both the radiatively-cooled upper  
710 atmosphere and also the convectively driven troposphere, precisely constrain-  
711 ing the static stability, radiative-convective boundary (i.e., how far down  
712 does sunlight penetrate?) and the levels of the tropopause, stratopause, me-  
713 sopause and homopause. Thermal structure measurements of Saturn would  
714 be directly compared to those on Jupiter to understand the energetic balance  
715 between solar heating, thermal cooling, latent heat release, wave heating and  
716 internal energy for driving the complex dynamics of all the different atmos-  
717 pheric layers on the giant planets, and how this balance differs as a function  
718 of distance from the Sun.

### 719 *3.1.2. Wave Activity*

720 Perturbations of the temperature structure due to vertical propagation  
721 of gravity waves are expected to be common features of the stably stratified  
722 middle atmospheres either on terrestrial planets or gas giants. Wave activity  
723 is thought to be a key coupling mechanism between the convective tropos-  
724 phere (e.g., gravity waves and Rossby/planetary waves generated by rising  
725 plumes and vortices) and the stable middle/upper atmosphere, being respon-  
726 sible for transporting energy and momentum through the atmosphere and  
727 for phenomenon like the Quasi-Biennial Oscillation on Earth ([Baldwin et al.,](#)

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5. Note that ablation sensors on the entry probe are needed to get the time-profile of Thermal Protection System (TPS) mass loss and change in area during entry.

728 2001), which is thought to have counterparts on Jupiter and Saturn (Fouchet  
 729 et al., 2008). Waves are a useful diagnostic of the background state of the at-  
 730 mosphere, as their propagation relies on certain critical conditions (e.g., the  
 731 static stability and vertical shears on zonal winds, which cannot be revealed  
 732 by remote sensing alone). Energy and momentum transfer via waves serve as  
 733 a source of both heating and cooling for the hot thermospheres, whose tem-  
 734 peratures far exceed the expectations from solar heating alone, although the  
 735 precise origins of the heating source has never been satisfactorily identified  
 736 (e.g., Hickey et al., 2000; Nagy et al., 2009). Although a probe at a single  
 737 entry point cannot necessarily distinguish between wave types, nor measure  
 738 the horizontal wavelength, it can measure the vertical wavelength of middle  
 739 atmospheric waves. For example, the periodicity of gravity waves measured  
 740 by the Galileo probe on Jupiter permitted the reconstruction of the zonal  
 741 wind profile from the lower thermosphere to the upper troposphere (Wat-  
 742 kins and Cho, 2013), and identification of the homopause (where molecular  
 743 and eddy diffusion become comparable and gravity waves break to deposit  
 744 their energy), above which the atmosphere separates into layers of different  
 745 molecular species. Understanding the propagation, periodicity and sources of  
 746 wave activity on Saturn will reveal the properties of the background medium  
 747 and the coupling of the “weather layer” to the middle atmosphere especially  
 748 on how zonal and meridional circulations are forced by eddy-mean flow in-  
 749 teractions, and facilitate direct comparison with Jupiter.

### 750 3.1.3. *Profiling Atmospheric Winds*

751 *In situ* exploration would tackle one of the most enduring mysteries for  
 752 the giant planets - what powers and maintains the zonal winds responsible for

753 the planetary banding, how deep do those winds penetrate into the tropos-  
 754 phere, and what are the wind strengths in the middle atmosphere? Remote  
 755 sensing of temperature contrasts (and hence wind shears via thermal wind  
 756 relationships) can reveal the slow overturning of the stratosphere, and infe-  
 757 rences about the deep winds can be made from the properties of atmospheric  
 758 plumes at the cloud-tops (e.g., [Sánchez-Lavega et al., 2008](#)). However, remo-  
 759 tely observed cloud motions are often ambiguous due to uncertainties in the  
 760 cloud location; the clouds themselves may be imperfect tracers of the winds;  
 761 and vertical temperature profiles (and hence wind shears) are degenerate  
 762 with the atmospheric composition. *In situ* measurements of the vertical va-  
 763 riation of winds, temperatures and cloud locations may help to resolve these  
 764 ambiguities. The Galileo probe’s DWE reported that jovian winds were at a  
 765 minimum at the cloud tops (where most of our understanding of zonal winds  
 766 and eddy-momentum fluxes originate from), and increased both above ([Wat-  
 767 kins and Cho, 2013](#)) and below ([Atkinson et al., 1998](#)) this level. In the deep  
 768 atmosphere, DWE demonstrated that Jupiter’s winds increased to a depth of  
 769 around 5 bars, and then remained roughly constant to the maximum probe  
 770 depth of around 22 bars. Similar measurements on Saturn could sample the  
 771 transition region between two different circulation regimes - an upper tropos-  
 772 pheric region where eddies cause friction to decelerate the zonal jets and air  
 773 rises in cloudy zones, and a deeper tropospheric region where the circulation  
 774 is reversed and eddy pumping is essential to maintain the jets and air rises in  
 775 the warmer belts (e.g., [Del Genio et al., 2009](#); [Fletcher et al., 2011](#)). A single  
 776 entry probe would potentially sample both regimes, and reconciling these  
 777 two views of tropospheric circulation on Saturn would have implications for

778 all of the giant planets. Finally, direct measurements of winds in the middle  
779 atmosphere would establish the reliability of extrapolations from the jets in  
780 the cloud tops to the stratosphere in determining the general circulations of  
781 planetary stratospheres.

### 782 *3.2. Saturn's Clouds and Composition*

783 In Section 2 we discussed the need for reliable measurements of bulk ele-  
784 mental enrichments and isotopic ratios to study the formation and evolution  
785 of Saturn. Vertical profiles of atmospheric composition (both molecular and  
786 particulate) are essential to understanding the chemical, condensation and  
787 disequilibrium processes at work, in addition to the deposition of material  
788 from outside of the planet's atmosphere. The Galileo probe compositional  
789 and cloud measurements revealed an unexpectedly dry region of the jovian  
790 troposphere, depleted in clouds and volatiles (Atreya et al., 1999), which was  
791 consistent with ground-based observations of the probe entry into a warm  
792 cyclonic region (e.g., Orton et al., 1998). For this reason, the compositional  
793 profiles measured by Galileo are not thought to be globally representative  
794 of Jupiter's atmosphere, leading to a desire for multiple entry probes for  
795 different latitudes and longitudes in future missions. Nevertheless, a single  
796 probe is essential for a more complete understanding of this class of giant  
797 planets, to enhance our knowledge of Saturn and to provide a context for  
798 improved interpretation of the Galileo probe's sampling of Jupiter's unusual  
799 meteorology.

### 800 3.2.1. Clouds and hazes

801 A poor understanding of cloud and haze formation in planetary atmos-  
802 pheres of our solar system may be the key parameter limiting our ability to  
803 interpret spectra of extrasolar planets and brown dwarfs (e.g., [Marley et al.,](#)  
804 [2013](#)). Although equilibrium cloud condensation models (ECCMs, [Weiden-](#)  
805 [schilling and Lewis, 1973](#)) combined with the sedimentation of condensates  
806 to form layers, have proven successful in explaining the broad characteristics  
807 of the planets (methane ice clouds on ice giants, ammonia ice clouds on gas  
808 giants), they remain too simplistic to reproduce the precise location, extent  
809 and microphysics of the observed cloud decks. The Galileo probe results de-  
810 fied expectations of equilibrium condensation by revealing clouds bases at  
811 0.5, 1.3 and 1.6 bar, plus tenuous structure from 2.4-3.6 bar and no evidence  
812 for a deep water cloud ([Atreya et al., 1999](#); [West et al., 2004](#)). Ammonia ice  
813 on Jupiter has only been spectroscopically identified in regions of powerful  
814 convective updrafts (e.g., [Baines et al., 2002](#); [Reuter et al., 2007](#)), and water  
815 ice has been detected in Voyager far-infrared spectroscopy ([Simon-Miller et](#)  
816 [al., 2000](#)). The spectral signature of pure ammonia ice is likely obscured by a  
817 coating or mixing with other products, such as photolytically produced hy-  
818 drocarbons, hydrazine or diphosphine (e.g., [Sromovsky and Fry, 2010](#); [West](#)  
819 [et al., 2004](#)). The spectral properties of these mixtures are poorly known, ren-  
820 dering cloud remote sensing highly ambiguous. Furthermore, Saturn’s upper  
821 troposphere appears dominated by a ubiquitous haze whose composition has  
822 never been determined and is potentially unrelated to condensed volatiles  
823 (although diphosphine,  $P_2H_4$ , a product of the UV destruction of phosphine,  
824 remains an intriguing possibility). An ECCM applied to Saturn with a  $5\times$

enhancement of heavy elements over solar abundances predicts  $\text{NH}_3$  condensation at 1.8 bar,  $\text{NH}_4\text{SH}$  near 4 bar and an aqueous ammonia cloud (merging with a water ice cloud) near 20 bar (Atreya et al., 1999). However, ammonia and water ice signatures have been identified only recently, in the powerful updrafts associated with a powerful springtime storm in 2010–2011 (Sromovsky et al., 2013).

The only way to resolve these questions is by *in situ* sampling of the clouds and hazes formed in a planet’s atmosphere, using instruments designed to measure the particle optical properties, size distributions, number and mass densities, optical depth and vertical distribution. Combined with the vertical profiles of condensable volatiles (e.g.,  $\text{NH}_3$ ,  $\text{H}_2\text{S}$  and  $\text{H}_2\text{O}$  on Saturn) and photochemically-produced species (hydrocarbons, hydrazine  $\text{N}_2\text{H}_4$ , diphosphine), this would give an estimate of the composition of Saturn’s condensation clouds and upper atmospheric hazes for the first time. Saturn’s atmosphere provides the most accessible cloud decks for this study after Jupiter (condensates of  $\text{NH}_3$  and  $\text{H}_2\text{O}$  are locked away at considerably higher pressures on the ice giants); the most useful comparison to remote sensing data (e.g., from Cassini); and the most similar composition to Jupiter for a full understanding of gas giant clouds.

Furthermore, the *in situ* exploration of a giant planet weather layer will provide new insights into the cloud-forming processes and the dynamics below the levels normally visible to remote sensing. Lightning flashes most likely exist in the atmospheres of all gas planets (Yair et al., 2008), and the Galileo Probe lightning and radio emission detector (LRD) used a magnetic antenna to detect signals of lightning from Jovian clouds with an electric

850 dipole moment change about 100 times that of terrestrial lightning (Rinnert  
 851 et al., 1998). The existence of lightning in Saturn’s atmosphere has been  
 852 proven by Voyager and Cassini measurements of radio emissions (Fischer et  
 853 al., 2008) and direct optical flash observations (Dyudina et al., 2010). The  
 854 thunderstorms tend to appear infrequently at the equator and in the “storm  
 855 alleys” at the latitudes of 35 ° north and south. The flashes originate from a  
 856 depth of 125–250 km below the 1-bar level, most likely in the water clouds.  
 857 So far, Saturn lightning radio emissions have only been measured above the  
 858 ionospheric cutoff frequency (mostly >1 MHz). Measurements in the VLF  
 859 region (3–30 kHz) can reveal the unknown spectrum at lower frequencies,  
 860 where lightning radio emissions are expected to be strongest and to be able  
 861 to propagate over thousands of kilometers below the ionosphere. Another  
 862 unique and new measurement for gas planets concerns Schumann resonances  
 863 in the TLF (<3 Hz) and ELF regions (3–300 Hz), which should be excited  
 864 by lightning in their gaseous envelopes (e.g. Sentman (1990)). It has been  
 865 suggested that such a measurement could even constrain the water abun-  
 866 dance on giant planets (Simões et al., 2012), and it would be very useful in  
 867 conjunction with conductivity measurements throughout the descent of the  
 868 probe.

### 869 3.2.2. *Atmospheric Chemistry and Mixing*

870 Gaseous species can be removed from the gas phase by condensation ; mo-  
 871 dified by vertical mixing and photolysis ; and deposited from exogenic sources  
 872 (icy rings, satellites, interplanetary dust, comets, etc.), causing abundance  
 873 profiles to vary with altitude and season. Indeed, all the giant planets ex-  
 874 hibit a rich chemistry due to the UV photolysis of key atmospheric species.



875 Their stratospheres are dominated by the hydrocarbon products of methane  
 876 photolysis (e.g., [Moses et al., 2005](#)), which descend into the troposphere to  
 877 be recycled by thermochemical conversion. On Jupiter, the Galileo probe  
 878 was able to measure hydrocarbon species in the 8–12 bar region, although  
 879 the balance of ethane (expected to be the most abundant hydrocarbon after  
 880 methane) to ethylene, propene, acetylene and propane led to suspicions that  
 881 the hydrocarbon detections were instrumental rather than of atmospheric  
 882 origin ([Wong, 2009](#)). Stratospheric measurements of hydrocarbons in their  
 883 production region were not performed, but would be possible on Saturn with  
 884 a probe. Saturn’s troposphere features saturated volatiles in trace amounts  
 885 above the cloud tops, but only ammonia gas is abundant enough for remote  
 886 detection. H<sub>2</sub>S and H<sub>2</sub>O profiles above the condensation clouds have never  
 887 been measured. In addition to the volatiles, Saturn’s troposphere features  
 888 a host of disequilibrium species, most notably phosphine, dredged up from  
 889 the deeper, warmer interior by vigorous atmospheric mixing (e.g., [Fletcher  
 890 et al., 2009a](#)). The abundance of PH<sub>3</sub> measured in the upper troposphere  
 891 is thought to represent the abundance at its thermochemical quench level,  
 892 where the vertical diffusion timescale is shorter than the thermochemical ki-  
 893 netics timescale. Measurements of additional trace species in the troposphere  
 894 (GeH<sub>4</sub>, AsH<sub>3</sub>, CO) provide constraints on the strength of atmospheric mixing  
 895 from deeper, warmer levels below the clouds. CO is of particular interest be-  
 896 cause it could be used as a probe of the deep O/H ratio of Saturn (see Section  
 897 [2](#)).

898 Detection of trace chemical species (HCN, HCP, CS, methanol, formalde-  
 899 hyde) and hydrogen halides (HCl, HBr, HF and HI, e.g., [Teanby et al., 2006](#);

900 [Fletcher et al., 2012](#)) would reveal coupled chemistry due to lightning acti-  
 901 vity or shock chemistry due to planetary impacts. In addition, the presence  
 902 of oxygenated species in the upper stratosphere (CO, CO<sub>2</sub>, H<sub>2</sub>O) reveal the  
 903 strength of exogenic influx of materials (comets, interplanetary dust, e.g.,  
 904 [Feuchtgruber et al., 1997](#); [Cavalié et al., 2010](#)) into the upper atmosphere of  
 905 Saturn. Sensitive mass spectrometry of these species, combined with probe  
 906 measurements of atmospheric temperatures and haze properties, could re-  
 907 veal the processes governing the soup of atmospheric constituents on the  
 908 giant planets. Once again, Saturn’s trace species are expected to be the most  
 909 accessible of the solar system giant after Jupiter, as volatiles and disequili-  
 910 brium species (e.g., PH<sub>3</sub> and NH<sub>3</sub>) have so far eluded remote detection on  
 911 the ice giants.

### 912 *3.3. Summary of Key Atmospheric Measurements*

913 A single entry probe would reveal new insights into the vertical struc-  
 914 tures of temperatures, density, chemical composition and clouds during des-  
 915 cent through a number of different atmospheric regions, from the stable  
 916 upper/middle atmosphere to the convective troposphere. It would directly  
 917 sample the condensation cloud decks and ubiquitous hazes whose composi-  
 918 tion, altitude and structure remain ambiguous due to the inherent difficulties  
 919 with remote sensing. Furthermore, it would show how Saturn’s atmosphere  
 920 flows at a variety of different depths above, within and below the condensate  
 921 clouds. Key measurements required to address the science described in this  
 922 section include :

- 923 — Continuous measurements of atmospheric temperature and pressure  
 924 throughout the descent to study (i) stability regimes as a function of

925 depth though transition zones (e.g., radiative-convective boundary) ;  
 926 (ii) atmospheric drag and accelerations ; and (iii) the influence of wave  
 927 perturbations and cloud formation on the vertical temperature profile ;  
 928 — Determination of the vertical variation of horizontal winds using Dop-  
 929 pler measurements of the probe’s carrier frequency (driven by an ultra-  
 930 stable oscillator) during the descent. This includes a study of the depth  
 931 of the zonal wind fields, as well as the first measurements of middle  
 932 atmospheric winds ;  
 933 — Vertical profiling of a host of atmospheric species via mass spectro-  
 934 metry, including atmospheric volatiles (water,  $\text{H}_2\text{S}$  and  $\text{NH}_3$  in their  
 935 saturated and sub-cloud regions) ; disequilibrium species (e.g.,  $\text{PH}_3$ ,  
 936  $\text{AsH}_3$ ,  $\text{GeH}_4$ ,  $\text{CO}$ ) dredged from the deeper atmosphere ; photochemi-  
 937 cal species (e.g., hydrocarbons and  $\text{HCN}$  in the troposphere and stra-  
 938 tosphere ; hydrazine and diphosphine in the upper troposphere) and  
 939 exogenic inputs (e.g., oxygenated species in the upper atmosphere) ;  
 940 — Measurements of the vertical structure and properties of Saturn’s  
 941 cloud and haze layers ; including determinations of the particle opti-  
 942 cal properties, size distributions, number and mass densities, opacity,  
 943 shapes and, potentially, their composition.

944 With a single entry probe, the selected entry site must be carefully stu-  
 945 died. Saturn’s equatorial zone is one potential site for a single entry probe  
 946 because of its meteorological activity that combines : the emergence of large-  
 947 scale storms ([Sanchez-Lavega et al., 1991](#)) ; vertical wind shears in the tro-  
 948 posphere ([García-Melendo et al., 2011](#)) ; upwelling enhancing volatiles and  
 949 disequilibrium species ([Fletcher et al., 2009a, 2011](#)) ; and a global stratosphe-

ric oscillation of the thermal field (Fouchet et al., 2008; Orton et al., 2008; Guerlet et al., 2011). Additionally, the strength of its equatorial eastward jet (peak velocities up to 500 m/s) poses one of the theoretical challenges to the understanding of the dynamics of fluid giant planets. Furthermore, a descent probe into Saturn’s equatorial region could further constrain the influx of H<sub>2</sub>O originating from the Enceladus torus (Hartogh et al., 2011). However, it remains an open question as to how representative the equatorial region would be of Saturn’s global dynamics. Short of multiple entry probes targeted at different regions of upwelling and subsidence, near to narrow prograde jets or broader retrograde jets, a mid-latitude atmospheric region might be a more representative sample.

#### 4. Mission Architectures

The primary science objectives described in Sec. 2 and 3 may be addressed by an atmospheric entry probe that would descend under parachute, and start to perform *in situ* measurements in the stratosphere to help characterize the location and properties of the tropopause, and continue into the troposphere to pressures of at least 10 bars. All of the science objectives, except for the abundance of oxygen which may be only addressed partially, can be achieved by reaching 10 bars. Previous studies have shown that depths beyond 10 bars become increasingly more difficult to achieve for several technology reasons; for example : i) the descent time, hence the relay duration, would increase and make the relay geometry more challenging; ii) the technology for the probe may change at pressures greater than 10 bars; iii) the opacity of the atmosphere to radio-frequencies increases with depth and may make

974 the communication link even more challenging at higher pressures. Future  
975 studies would be needed to conduct a careful assessment of the trade-offs  
976 between science return and the added complexity of a probe that could ope-  
977 rate at pressures greater than 10 bars. Accelerometry measurements may  
978 also be performed during the entry phase in the higher part of the stratos-  
979 phere to probe the upper layers of the atmosphere prior to starting *in situ*  
980 measurements under parachute.

981 A carrier spacecraft would be required to deliver the probe to the desired  
982 atmospheric entry point at Saturn. We have identified three possible mission  
983 configurations :

- 984 — **Configuration 1 : Probe + Carrier.** The probe would detach from  
985 the carrier spacecraft prior to probe entry. The carrier would follow  
986 the probe path and be destroyed during atmospheric entry, but may  
987 be capable of performing pre-entry science. The carrier would not be  
988 used as a radio relay to transmit the probe data to Earth. The probe  
989 would transmit its data to the ground system via a direct-to-Earth  
990 (DTE) RF link ;
- 991 — **Configuration 2 : Probe + Carrier/Relay.** The probe would de-  
992 tach from the carrier several months prior to probe entry. Subsequent  
993 to probe release, the carrier trajectory would be deflected to prepare  
994 for over-flight phasing of the probe descent location for both probe  
995 data relay as well as performing approach and flyby science ;
- 996 — **Configuration 3 : Probe + Orbiter.** This configuration would be  
997 similar to the Galileo Orbiter/Probe mission. The probe would detach  
998 from the orbiter several months prior to probe entry. As for Configu-

999           ration 2, subsequent to probe release, the orbiter trajectory would be  
1000           deflected to prepare for over-flight phasing of the probe descent loca-  
1001           tion. After probe relay during over-flight, the orbiter would be placed  
1002           in orbit around Saturn and continue to perform orbital science.

1003       Configuration 1 would allow the carrier to perform months of approach  
1004       science and *in situ* pre-entry science. In this architecture, the probe data  
1005       transmission would rely solely on a Direct-to-Earth probe telecommunica-  
1006       tions link. In addition to being used as the probe relay data following com-  
1007       pletion of the probe mission, Configuration 2 would possibly also provide  
1008       the capability to perform months of approach science, but in addition flyby  
1009       science (for a few days). This configuration would allow many retransmis-  
1010       sions of the probe data for redundancy. Configuration 3 would clearly be the  
1011       most capable, but most costly configuration. Trade-off studies will need to  
1012       be carried out to assess whether the supporting remote sensing observations  
1013       may be achievable during the approach phase and a single flyby or from an  
1014       orbiter. Any of the carrier options could provide context observations but an  
1015       orbiter could bring more science return in addition to supporting the probe  
1016       science. The only requirement is that those data be downlinked to Earth  
1017       while the spacecraft is still operating. For example, useful observations from  
1018       a Configuration 1 carrier could be made several hours before probe entry, and  
1019       downlink could be accomplished in the intervening time. Finally, it may be  
1020       worth studying if the emerging solar-sail propulsion technology ([Janhunen](#)  
1021       [et al., 2014](#)) can be considered for this option.

#### 1022 4.1. Atmospheric Entry Probe

1023 An atmospheric entry probe at Saturn would in many respects resemble  
1024 the Jupiter Galileo probe. The concept was put forward for Saturn in the  
1025 KRONOS mission proposal (Marty et al., 2009). Giant Planet probe concept  
1026 studies have been studied by ESA in 2010<sup>6</sup>. As an example, the KRONOS  
1027 probe had a mass of  $\sim 337$ kg, with a 220kg deceleration module (aeroshell,  
1028 thermal protection system, parachutes and separation hardware) and a 117kg  
1029 descent module, including the probe structure, science instruments, and sub-  
1030 systems. It is anticipated that the probe architecture for this mission would  
1031 be battery powered and accommodate either a DTE link or a data relay to  
1032 the carrier or the orbiter. Trades would be done to assess the complexity (and  
1033 cost) of probe and telecomm link design as a function of operational depth  
1034 in the atmosphere. A representative payload for the Saturn probe that would  
1035 allow addressing the science objectives identified in Sec. 2 and 3 is shown in  
1036 Table 5.

#### 1037 4.2. Carrier or Orbiter

1038 Alternative architectures for the carrier (Configuration 1 or 2) or the  
1039 orbiter (Configuration 3) would be considered, taking into account, if possible  
1040 and if technologically and programmatically sound, the heritage for outer  
1041 planet/deep space missions within either ESA or NASA. As an example, the  
1042 carrier or the orbiter may benefit from subsystems developed by either ESA  
1043 or NASA for previous outer planet missions (for example ESA/JUICE or  
1044 NASA/JUNO, or possibly NASA/ESA Cassini-Huygens).

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6. <http://sci.esa.int/sre-fp/47568-pep-assessment-study-internal-final-presentation/>

#### 1045 4.3. Power Generation

1046 It would be worth studying whether the proposed mission architectures  
1047 could be solely designed on batteries and solar power. It would require qua-  
1048 lification of the low-intensity low-temperature (LILT) solar cell arrays for  
1049 9.5 AU conditions. The probe would be powered with primary batteries as  
1050 were the Galileo and Huygens probes. In all three configurations, the carrier  
1051 (configuration 1 and 2) or the orbiter (configuration 3) would be equipped  
1052 with a combination of solar panels, secondary batteries and possibly a set  
1053 of primary batteries for phases that require a high power input, for example  
1054 during the probe entry phase. Nuclear power would be considered for the  
1055 carrier or the orbiter only if available solar power technology would be found  
1056 to be unfeasible.

#### 1057 4.4. Interplanetary Trajectory and Entry Zone of the Probe

1058 Many trajectory options have been identified, using both direct and gravity-  
1059 assisted transfers to Saturn, and more will be identified in subsequent stu-  
1060 dies. Trajectory selection will be based on the selected carrier option, launch  
1061 vehicle capabilities, and available probe thermal protection capability. The  
1062 interplanetary trajectory and the probe entry location are inseparably lin-  
1063 ked. Saturn's extensive ring system presents a severe collision hazard to an  
1064 inbound probe. For various declinations of the spacecraft's approach asymp-  
1065 tote, some latitudes will be inaccessible because the trajectories to deliver to  
1066 those latitudes would impact the rings. Also, although it is possible to ad-  
1067 just the inclination of the approach orbit for purposes of accessing a desired  
1068 latitude, this approach can greatly increase the atmosphere-relative entry  
1069 speeds, possibly driving the mission to an expensive heat shield material



technology development. During the studies, the issues of probe entry loca-  
 tions, approach and entry trajectories, and probe technologies must be trea-  
 ted together. Due to Saturn’s large obliquity and the characteristics of rea-  
 sonable Earth-to-Saturn transfer trajectories, the best combinations change  
 with time. Concerning the probe entry zone, both equatorial and mid-latitude  
 regions may be a representative location from the scientific point of view (see  
 a discussion in Sec. 3.3). Volatile-depleted regions are probably located at the  
 cyclones in both poles and may also be located at the so-called “storm-alley”  
 (region of low static stability able to develop updrafts and downdrafts). More  
 generally, the peaks of westward jets can be unstable based on the stability  
 of the wind system and eastward jets (particularly the anticyclonic branch of  
 eastward jets) might be good locations to retrieve the deep values of volatiles  
 at higher levels in the atmosphere (Read et al., 2009). In any case, there are  
 several potential entry points and a decision where to enter, for example from  
 the point of view of jets dynamics, is not evident, and will require further  
 study. However, from cloud tracking, a significant vertical wind shear has  
 been inferred in the equatorial eastward jet and less intense vertical wind  
 shear in the rest of eastward jets (García-Melendo et al., 2010). On the other  
 hand, westward jets seem to have no vertical wind shear at the levels that  
 can be studied from cloud tracking with Cassini images (García-Melendo et  
 al., 2009).

#### 4.5. *International Collaboration*

In this paper, we only consider ESA/Europe and NASA/USA collabora-  
 tions but collaborations with other international partners may be envisaged.  
 One of the key probe technologies for a Saturn probe that would be new for

European industry, is the heat shield material. Recent NASA studies concerning entry system performance requirements versus thermal protection system capability for a Saturn entry probe have been completed (Ellerby et al., 2013). This example is used to illustrate that, should Europe be willing to lead the probe development (as was so successfully done with Huygens), careful consideration of trade-offs would have to be made for either development of this new technology within Europe or for establishing an international collaboration with NASA. International collaboration may also be considered for other mission elements, including the carrier (and of course the orbiter if configuration 3 would be further studied), navigation, the probe data relay, the ground segment, and science payload. All three configurations would be achievable through different schemes of collaboration between Europe and the USA. As an example, configurations 1 and 2 may take the form of a combined ESA/Class-M and a NASA Discovery or New Frontiers collaboration, if such a scheme were to become programmatically feasible as it is currently not the case. Configuration 3 would be achievable through a collaboration that would involve an ESA/Class M and a NASA/Flagship mission. We do not put forward an ESA/Class L mission at this stage as the current ESA Cosmic Vision plan would not allow a new Class-L mission before the late 30's/early 40's.

## 5. Characteristics of a Possible Probe Model Payload

The scientific requirements discussed above can be addressed with a suite of scientific instruments, which are given in Table 5 and discussed in the following. Note that this list of instruments should not be considered as a

1119 unique payload complement but as guideline for some of the instruments  
 1120 we might wish to see on board. For example, an alternative to both the  
 1121 nephelometer and net flux radiometer described below are elements of the  
 1122 Huygens Descent Imager/Spectral Radiometer (DISR) (Tomasko et al., 2002)  
 1123 that used the sun as a source. Ultimately, the payload of the Saturn probe  
 1124 would be the subject of detailed mass, power and design trades, but should  
 1125 seek to address the majority of the scientific goals outlined in Sec. 2 and 3.

### 1126 5.1. Mass Spectrometry

1127 The chemical and isotopic composition of Saturn’s atmosphere, and its  
 1128 variability, will be measured by mass spectrometry. The gas analysis systems  
 1129 for a Saturn Probe may benefit from a high heritage from instrumentation  
 1130 already flown and having provided atmospheric composition and isotope in-  
 1131 vestigations. The scientific objective for the mass spectrometric investigation  
 1132 regarding Saturn’s formation and the origin of the solar system are *in situ*  
 1133 measurements of the chemical composition and isotope abundances in the at-  
 1134 mosphere, such as H, C, N, S, P, Ge, As, noble gases He, Ne, Ar, Kr, and Xe,  
 1135 and the isotopes D/H,  $^{13}\text{C}/^{12}\text{C}$ ,  $^{15}\text{N}/^{14}\text{N}$ ,  $^3\text{He}/^4\text{He}$ ,  $^{20}\text{Ne}/^{22}\text{Ne}$ ,  $^{38}\text{Ar}/^{36}\text{Ar}$ ,  
 1136  $^{36}\text{Ar}/^{40}\text{Ar}$ , and those of Kr and Xe.

1137 At Jupiter, the Galileo Probe Mass Spectrometer (GPMS) experiment  
 1138 (Niemann et al., 1992) was used, which was designed to measure the chemi-  
 1139 cal and isotopic composition of Jupiter’s atmosphere in the pressure range  
 1140 from 0.15 to 20 bar by *in situ* sampling of the ambient atmospheric gas.  
 1141 The GPMS consisted of a gas sampling system that was connected to a qua-  
 1142 drupole mass spectrometer. The gas sampling system also had two sample  
 1143 enrichment cells, allowing for enrichments of hydrocarbons by a factor 100 to

1144 500, and one noble gas analysis cell with an enrichment factor of about 10.  
 1145 Low abundance noble gases can be measured by using an enrichment cell, as  
 1146 used on the Galileo mission (Niemann et al., 1996). From GPMS measure-  
 1147 ments the Jupiter He/H<sub>2</sub> ratio was determined as  $0.156 \pm 0.006$ . To improve  
 1148 the accuracy of the measurement of the He/H<sub>2</sub> ratio and isotopic ratios by  
 1149 mass spectrometry the use of reference gases will be necessary. On the Ro-  
 1150 setta mission the ROSINA experiment carries for each mass spectrometer a  
 1151 gas calibration unit (Balsiger et al., 2007). Similarly, the SAM experiment on  
 1152 the Curiosity rover can use either a gas sample from its on-board calibration  
 1153 cell or utilize one of the six individual metal calibration cups on the sample  
 1154 manipulation system (Mahaffy et al., 2012).

1155 A major consideration for the mass spectrometric analysis is how to dis-  
 1156 tinguish between different molecular species with the same nominal mass,  
 1157 e.g. N<sub>2</sub> and CO, from the complex mixture of gases in Saturn’s atmosphere.  
 1158 There are two approaches available, one is high resolution mass spectrome-  
 1159 try with sufficient mass resolution to resolve the isobaric interferences, and  
 1160 the other is chemical pre-separation of the sample followed by low resolution  
 1161 mass spectrometry.

#### 1162 5.1.1. High Resolution Mass Spectrometry

1163 Probably the first composition experiment with high resolution mass spec-  
 1164 trometry is the ROSINA experiment on the Rosetta mission (Balsiger et  
 1165 al., 2007) which has a Reflectron-Time-of-Flight (RTOF) instrument with a  
 1166 mass resolution of about  $m/\Delta m = 5,000$  at 50% peak height (Scherer et al.,  
 1167 2006), Double-Focussing Mass Spectrometer (DFMS) with a mass resolution  
 1168 of about  $m/\Delta m = 9,000$  at 50% peak height, and a pressure gauge. Deter-

1169 mination of isotope ratios at the 1% accuracy level has been accomplished  
 1170 during the cruise phase. A time-of-flight instrument with even more mass re-  
 1171 solution has been developed for possible application in Titan’s atmosphere,  
 1172 which uses a multi-pass time-of flight configuration (Waite et al., 2012). Ty-  
 1173 pical mass resolutions are  $m/\Delta m = 13,500$  at 50% peak height and 8,500  
 1174 at 20% peak height. In bunch mode the mass resolution can be increased to  
 1175 59,000 (at 50% peak height). Again, determination of isotope ratios at the  
 1176 1% accuracy level has been accomplished. An alternative multi-pass time-  
 1177 of-flight instrument has been developed by Okumura et al. (2004), which  
 1178 uses electric sectors instead of ion mirrors for time and space focussing. Mass  
 1179 resolutions up to  $m/\Delta m = 350,000$  have been reported (Toyoda et al., 2003).

1180 Recently, a new type of mass spectrometer, the Orbitrap mass spectrome-  
 1181 ter, was introduced (Makarov, 2000; Hu et al., 2005), which uses ion confine-  
 1182 ment in a harmonic electrostatic potential. The Orbitrap mass spectrometer  
 1183 is a Fourier-Transform type mass spectrometer, and it allows for very high  
 1184 mass resolutions in a compact package. For example, using an Orbitrap mass  
 1185 spectrometer for laboratory studies of chemical processes in Titan’s atmos-  
 1186 phere, mass resolutions of  $m/\Delta m = 100,000$  have been accomplished up to  
 1187  $m/z = 400$  (Hörst et al., 2012), and  $m/\Delta m = 190,000$  at 50% peak height  
 1188 and  $m/z = 56$  in a prototype instrument for the JUICE mission (Briois et  
 1189 al., 2013).

### 1190 5.1.2. Low Resolution Mass Spectrometry with Chemical Pre-Processing

1191 The alternative approach to high resolution mass spectrometry, which  
 1192 was used successfully on many missions so far, is to use a simpler low reso-  
 1193 lution mass spectrometer together with a chemical processing of the sample

1194 to separate or eliminate isobaric interferences. One established way is to  
1195 use chromatographic columns with dedicated chemical specificity for a sepa-  
1196 ration of chemical substances before mass spectrometric analysis. The Gas-  
1197 Chromatograph Mass Spectrometer (GCMS) of the Huygens Probe is a good  
1198 example of such an instrument (Niemann et al., 2002, 2005, 2010). The Huy-  
1199 gens Probe GCMS has three chromatographic columns, one column for sepa-  
1200 ration of CO and N<sub>2</sub> and other stable gases, the second column for separation  
1201 of nitriles and other organics with up to three carbon atoms, and the third  
1202 column for the separation of C<sub>3</sub> through C<sub>8</sub> saturated and unsaturated hy-  
1203 drocarbons and nitriles of up to C<sub>4</sub>. The GCMS was also equipped with a  
1204 chemical scrubber cell for noble gas analysis and a sample enrichment cell for  
1205 selective measurement of high boiling point carbon containing constituents.  
1206 A quadrupole mass spectrometer was used for mass analysis with a mass  
1207 range from 2 to 141 amu, which is able to measure isotope ratios with an  
1208 accuracy of 1%. Newer examples of GCMS instrumentation are the Ptolemy  
1209 instrument on the Rosetta lander for the measurement of stable isotopes of  
1210 key elements (Wright et al., 2007), which uses an ion trap mass spectrometer,  
1211 the COSAC instrument also on the Rosetta lander for the characterization of  
1212 surface and sub-surface samples (Goesmann et al., 2007), which uses a time-  
1213 of-flight mass spectrometer, and the SAM experiment on the Curiosity rover  
1214 (Mahaffy et al., 2012), which uses a classical quadrupole mass spectrometer.

### 1215 5.1.3. Summary of Mass Spectrometry

1216 So far in most missions the chemical pre-separation was the technique  
1217 used to avoid isobaric interferences in the mass spectra, with the exception of  
1218 the mass spectrometer experiment ROSINA on the Rosetta orbiter. Chemical

1219 pre-separation works well, but by choosing chromatographic columns with  
1220 a certain chemical specificity one makes a pre-selection of the species to  
1221 be investigated in detail. This possibly is a limitation when exploring an  
1222 object where little is known. Also, gas chromatographic systems with several  
1223 columns are rather complex systems, both to build and to operate (see the  
1224 SAM instrument as a state-of-the art example of this technique ([Mahaffy et](#)  
1225 [al., 2012](#))).

1226 In recent years there has been a significant development of compact mass  
1227 spectrometers that offer high mass resolution, as outlined above, and these  
1228 developments are still ongoing. Thus, solving the problem of isobaric inter-  
1229 ferences in the mass spectra by mass resolution can be addressed by mass  
1230 spectrometry alone and one should seriously consider using high resolution  
1231 mass spectrometry for a future mission to probe Saturn’s atmosphere. After  
1232 all, no *a priori* knowledge of the chemical composition has to be assumed. In  
1233 addition, with modern time-of-flight mass spectrometers mass ranges beyond  
1234 1000 amu are not a problem at all, which would have been useful to investi-  
1235 gate Titan’s atmosphere. Nevertheless, some chemical pre-selection may still  
1236 be considered even for high resolution mass spectrometry. For example, the  
1237 cryotrapping technique, which has a long history in the laboratory, would  
1238 enable detection of noble gases at abundances as low as 0.02 ppb ([Waite et](#)  
1239 [al., 2012](#)).

#### 1240 5.1.4. Tunable Laser System

1241 A Tunable Laser Spectrometer (TLS) ([Durry et al., 2002](#)) can be em-  
1242 ployed as part of a GC system to measure the isotopic ratios to a high  
1243 accuracy of specific molecules, e.g. H<sub>2</sub>O, NH<sub>3</sub>, CH<sub>4</sub>, CO<sub>2</sub> and others. TLS

1244 employs ultra-high spectral resolution ( $0.0005 \text{ cm}^{-1}$ ) tunable laser absorp-  
 1245 tion spectroscopy in the near infra-red (IR) to mid-IR spectral region. TLS  
 1246 is a direct non-invasive, simple technique that for small mass and volume  
 1247 can produce remarkable sensitivities at the sub-ppb level for gas detection.  
 1248 Species abundances can be measured with accuracies of a few %, and isotope  
 1249 determinations are with about 0.1% accuracy. With a TLS system one can  
 1250 derive the isotopic ratios of D/H,  $^{18}\text{O}/^{16}\text{O}$ ,  $^{13}\text{C}/^{12}\text{C}$ ,  $^{18}\text{O}/^{16}\text{O}$ , and  $^{17}\text{O}/^{16}\text{O}$ .  
 1251 For example, TLS was developed for application in the Mars atmosphere  
 1252 (Le Barbu et al., 2004), within the ExoMars mission ; a recent implementation  
 1253 of a TLS system was for the Phobos Grunt mission (Durry et al., 2010),  
 1254 and is on the SAM instrument (Webster and Mahaffy, 2011), which was  
 1255 used to measure the isotopic ratios of D/H and of  $^{18}\text{O}/^{16}\text{O}$  in water and  
 1256  $^{13}\text{C}/^{12}\text{C}$ ,  $^{18}\text{O}/^{16}\text{O}$ ,  $^{17}\text{O}/^{16}\text{O}$ , and  $^{13}\text{C}^{18}\text{O}/^{12}\text{C}^{16}\text{O}$  in carbon dioxide in the  
 1257 Martian atmosphere (Webster et al., 2013).

## 1258 5.2. Helium Abundance Detector

1259 The Helium Abundance Detector (HAD), as it was used on the Gali-  
 1260 leo mission (von Zahn and Hunten, 1992), basically measures the refractive  
 1261 index of the atmosphere in the pressure range of 2–10 bar. The refractive  
 1262 index is a function of the composition of the sampled gas, and since the jo-  
 1263 vian atmosphere consists of mostly of  $\text{H}_2$  and He, to more than 99.5%, the  
 1264 refractive index is a direct measure of the He/ $\text{H}_2$  ratio. The refractive index  
 1265 can be measured by any two-beam interferometer, where one beam passes  
 1266 through a reference gas and the other beam through atmospheric gas. The  
 1267 difference in the optical path gives the difference in refractive index between  
 1268 the reference and atmospheric gas. For the Galileo mission a Jamin-Mascart



interferometer was used, because of its simple and compact design, with an expected accuracy of the He/H<sub>2</sub> ratio of  $\pm 0.0015$ . The accomplished measurement of the He mole fraction gave  $0.1350 \pm 0.0027$  (von Zahn et al., 1998), with a somewhat lower accuracy when expected, but still better than is possible by a mass spectrometric measurement.

### 5.3. Atmospheric Structure Instrument

The key *in situ* measurements by an Atmospheric Structure Instrument (ASI) would be the accelerometry during the probe entry phase and pressure, temperature and mean molecular weight during descent. The atmospheric density is derived from these measurements. There is strong heritage from the Huygens ASI experiment (HASI) of the Cassini-Huygens mission (Fulchignoni et al., 2002). Furthermore, these types of sensors have been selected for NASA's Mars Science Laboratory (MSL) and are part of the meteorological package of ESA's Exomars mission. *In situ* atmospheric structure measurements are essential for the investigation of the planet's atmospheric structure and dynamics. The estimation of the temperature lapse rate can be used to identify the presence of condensation and possible clouds, to distinguish between saturated and unsaturated, stable and conditionally stable regions. The variations in the density, pressure and temperature profiles provide information on the atmospheric stability and stratification, on the presence of winds, thermal tides, waves and turbulence in the atmosphere. A typical Atmospheric Structure Instrument would consist of three primary sensor packages : a three-axis accelerometer, a pressure profile instrument and temperature sensors. It would start to operate right at the beginning of the entry phase of the probe, sensing the atmospheric drag experienced during entry. Direct

1294 pressure and temperature measurement could be performed by the sensors  
1295 having access to the atmospheric flow from the earliest portion of the descent  
1296 until the end of the probe mission at approximately 10 bar.

1297 ASI data will also contribute to the analysis of the atmospheric composi-  
1298 tion, since it will monitor the acceleration experienced by the probe during  
1299 the whole descent phase. ASI will provide the unique direct measurements of  
1300 pressure and temperature through sensors having access to the atmospheric  
1301 flow.

#### 1302 *5.3.1. Accelerometers*

1303 The accelerator package, a 3-axis accelerometer, should be placed as close  
1304 as possible to the center of mass of the entry probe. Like on Huygens, the  
1305 main sensor could be a highly sensitive servo accelerometer aligned along  
1306 the vertical axis of the Probe, with a resolution of 1 to  $10 \times 10^{-5} \text{ m s}^{-2}$   
1307 with an accuracy of 1%. Probe acceleration can be measured in the range  
1308 of 0–2000  $\text{m s}^{-2}$  (Zarnecki et al., 2004). Assuming the HASI accelerometer  
1309 performance at Titan, a noise level of about  $3 \times 10^{-8} \text{ m s}^{-2}$  is expected.  
1310 The exact performance achievable, in terms of the accuracy of the derived  
1311 atmospheric density, will also depend on the probe ballistic coefficients, entry  
1312 speed and drag coefficient.

#### 1313 *5.3.2. Temperature sensors*

1314 As in the Huygens Probe, the temperature sensors will use platinum re-  
1315 sistance thermometers. These are exposed to the atmospheric flow and are  
1316 effectively thermally isolated from the support structure. The principle of  
1317 measurement is based on the variation of the resistance of the metallic wire

1318 with temperature. TEM has been designed to have a good thermal coupling  
1319 between the sensor and the atmosphere and to achieve high accuracy and  
1320 resolution. Over the temperature range of 60–330 K these sensors maintain  
1321 an accuracy of 0.1 K with a resolution of 0.02 K.

### 1322 5.3.3. *Pressure Profile Instrument*

1323 The Pressure Profile Instrument (PTI) will measure the pressure during  
1324 the entire descent with an accuracy of 1% and a resolution of  $10^{-6}$  bar.  
1325 The atmospheric flow is conveyed through a Kiel probe inside the probe  
1326 where the transducers and related electronic are located. The transducers are  
1327 silicon capacitive sensors with pressure dependant dielectricum. The pressure  
1328 sensor contains as dielectricum a small vacuum chamber between the two  
1329 electrode plates, with the external pressure defining the distance of these  
1330 plates. Detectors with diaphragms of different pressure sensitivity will be  
1331 utilized to cover the pressure range to  $\sim 10$  bar. The pressure is derived as  
1332 a frequency measurement (within 3–20 kHz range) and the measurements  
1333 internally compensate for thermal and radiation influences.

### 1334 5.3.4. *Atmospheric Electricity Package*

1335 Similar to HASI on the Huygens Probe, a lightning detector can perform  
1336 passive electric or magnetic field measurements in two different frequency  
1337 ranges. For HASI, the analog electric field signals were amplified, digitized,  
1338 sampled, windowed, and Fourier-transformed onboard to obtain electric field  
1339 spectrums in the frequency ranges of 0–11.5 kHz and 3–96 Hz. On Earth,  
1340 lightning radio emissions in the VLF band (3–30 kHz) can propagate over  
1341 several thousands of kilometers due to ionospheric reflections. This should

1342 work as well at Saturn, and the strength of Saturn lightning, which is ex-  
1343 pected to be superbolt-like (Dyudina et al., 2013), should enable an easy  
1344 detection in case a thunderstorm is present. It might be more difficult to  
1345 detect the weak Schumann resonances, where the lowest eigenfrequency for  
1346 Saturn is expected to occur around 0.7–0.8 Hz (Simões et al., 2012). For  
1347 conductivity measurements of the atmosphere a mutual impedance probe or  
1348 a relaxation probe can carry out active electric field measurements.

#### 1349 *5.4. Doppler Wind Experiment*

1350 The primary goal of a Doppler Wind Experiment (DWE) on a Saturn  
1351 probe would be to measure a vertical profile of the zonal (east-west) winds  
1352 along the probe descent path. A secondary goal of the DWE is to detect,  
1353 characterize, and quantify microstructure in the probe descent dynamics,  
1354 including probe spin, swing, aerodynamic buffeting and atmospheric turbu-  
1355 lence, and to detect regions of wind shear and atmospheric wave phenomena.  
1356 Because of the need for accurate probe and carrier trajectories for making  
1357 the Doppler wind measurement, the DWE must be closely coordinated with  
1358 the navigation and radiometric tracking of the carrier, and the probe en-  
1359 try and descent trajectory reconstructions. A Doppler Wind Experiment  
1360 could be designed to work with a probe DTE communication architecture  
1361 or a probe-to-relay architecture. Both options include ultra-stable oscillator  
1362 (USO) requirements and differ only in the angle of entry and DTE geometry  
1363 requirements. For relay, the system comprises a probe and a carrier USO  
1364 as part of the probe-carrier communication package. The experiment would  
1365 benefit from the heritage of both the Galileo and Huygens Doppler Wind  
1366 Experiments (Atkinson et al., 1998; Bird et al., 2002).

### 5.5. *Nephelometer*

The composition and precise location of cloud layers in Saturn are largely unknown. They may be composed of ammonia, ammonium hydrosulfide, or simply water. Because of this relative paucity of information on Saturn's clouds, the demands we place on a cloud particle sensor, a nephelometer, are significant. Such an instrument would have little heritage in planetary exploration, beyond the one on the Galileo probe. There are similar laser driven, fiber fed nephelometers used in very similar settings on Earth (e.g., [Barkey and Liou, 2001](#); [Barkey et al., 1999](#); [Gayet et al., 1997](#)). However, these were shrouded designs, which is mass-prohibitive on a planetary probe, and they also did not attempt to measure the polarization ratio phase function, because they knew their aerosols were water. The polarization modulation technique that we are proposing was first described by [Hunt and Huffman \(1973\)](#), and has been used in laboratory settings by several groups (e.g., [Kuik et al., 1991](#)). While the precise implementation of the instrument is novel to planetary science, and the polarization modulation technique is also new to an *in situ* instrument, the technology needed to carry out this instrument is all relatively modest. This nephelometer would measure not only the amplitude phase function of the light scattered by the clouds from a laser source on the probe, but also the polarization ratio phase function as well.

### 5.6. *Net Energy Flux Radiometer*

A Net Energy Flux Radiometer (NFR) measures the thermal profile and heat budget in the atmosphere. Such a NFR instrument was part of the scientific payload of the Galileo mission ([Sromovsky et al., 1992](#)), which measured the vertical profile of upward and downward radiation fluxes in the region

1392 between 0.44 to 14 bar region (Sromovsky et al., 1998). Radiation was mea-  
 1393 sured in five wavelength bands, 0.3–3.5  $\mu\text{m}$  (total solar radiation), 0.6–3.5  $\mu\text{m}$   
 1394 (total solar radiation in methane absorption region), 3–500  $\mu\text{m}$  (deposition  
 1395 and loss of thermal radiation), 3.5–5.8  $\mu\text{m}$  (water vapor and cloud struc-  
 1396 ture), and 14–35  $\mu\text{m}$  (water vapor). On Galileo, NFR found signatures of  
 1397  $\text{NH}_3$  ice clouds and  $\text{NH}_4\text{SH}$  clouds (Sromovsky et al., 1998), however, water  
 1398 fraction was found to be much lower than solar and no water clouds could  
 1399 be indentified.

## 1400 6. Conclusions

1401 In this paper, we have shown that the *in situ* exploration of Saturn can  
 1402 address two major science themes : the formation history of our solar system  
 1403 and the processes at work in the atmospheres of giant planets. We provi-  
 1404 ded a list of recommended measurements in Saturn’s atmosphere that would  
 1405 allow disentangling between the existing giant planets formation scenarios  
 1406 and the different volatile reservoirs from which the solar system bodies were  
 1407 assembled. Moreover, we illustrated how an entry probe would reveal new  
 1408 insights concerning the vertical structures of temperatures, density, chemical  
 1409 composition and clouds during atmospheric descent. In this context, the top  
 1410 level science goals of a Saturn probe mission would be the determination of :

- 1411 1. the atmospheric temperature, pressure and mean molecular weight  
 1412 profiles ;
- 1413 2. the abundances of cosmogenically abundant species C, N, S and O ;
- 1414 3. the abundances of chemically inert noble gases He, Ne, Xe, Kr and  
 1415 Ar ;

- 1416 4. the isotopic ratios in hydrogen, oxygen, carbon, nitrogen, He, Ne, Xe,  
1417 Kr and Ar ;
- 1418 5. the abundances of minor species delivered by vertical mixing (e.g.,  
1419 P, As, Ge) from the deeper troposphere, photochemical species (e.g.,  
1420 hydrocarbons, HCN, hydrazine and diphosphine) in the troposphere  
1421 and exogenic inputs (oxygenated species) in the upper atmosphere ;
- 1422 6. the particle optical properties, size distributions, number and mass  
1423 densities, opacity, shapes and composition.

1424 Additional *in situ* science measurements aiming at investigating the global  
1425 electric circuit on Saturn could be also considered (measurement of the Schu-  
1426 mann resonances, determination of the vertical profile of conductivity and  
1427 the spectral power of Saturn lightning at frequencies below the ionospheric  
1428 cutoff, etc).

1429 We advocated that a Saturn mission incorporating elements of *in situ*  
1430 exploration should form an essential element of ESA and NASA's future  
1431 cornerstone missions. We described the concept of a Saturn probe as the next  
1432 natural step beyond Galileo's *in situ* exploration of Jupiter, and the Cassini  
1433 spacecraft's orbital reconnaissance of Saturn. Several missions designs have  
1434 been discussed, all including a spacecraft carrier/orbiter and a probe that  
1435 would derive from the KRONOS concept previously proposed to ESA ([Marty](#)  
1436 [et al., 2009](#)). International collaborations, in particular between NASA/USA  
1437 and ESA/Europe may be envisaged in the future to enable the success of a  
1438 mission devoted to the *in situ* exploration of Saturn.

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TABLE 1: Observed compositions of the atmospheres of Jupiter and Saturn (major volatiles)

Species	Jupiter			Saturn		
	X/H <sub>2</sub>	$\Delta(X/H_2)$	Reference	X/H <sub>2</sub>	$\Delta(X/H_2)$	Reference
CH <sub>4</sub>	$2.37 \times 10^{-3}$	$5.70 \times 10^{-4}$	Wong et al. (2004)	$5.33 \times 10^{-3}$	$2.30 \times 10^{-4}$	Fletcher et al. (2009b)
NH <sub>3</sub>	$6.64 \times 10^{-4}$	$2.54 \times 10^{-4}$	Wong et al. (2004)	$4.54 \times 10^{-4}$	$1.14 \times 10^{-4}$	Fletcher et al. (2011)
H <sub>2</sub> O <sup>(a)</sup>	$4.90 \times 10^{-4}$	$1.60 \times 10^{-4}$	Wong et al. (2004)	$2.0 \times 10^{-7}$	—	de Graauw et al. (1997)
PH <sub>3</sub>	$2.15 \times 10^{-6}$	$1.16 \times 10^{-7}$	Fletcher et al. (2009a)	$7.28 \times 10^{-6}$	$4.80 \times 10^{-7}$	Fletcher et al. (2009a)
H <sub>2</sub> S	$8.90 \times 10^{-5}$	$2.10 \times 10^{-5}$	Wong et al. (2004)	$3.76 \times 10^{-4}$	—	Briggs and Sackett (1989)
He	$1.57 \times 10^{-1}$	$3.00 \times 10^{-3}$	von Zahn et al. (1998)	$1.35 \times 10^{-1}$	$2.50 \times 10^{-2}$	Conrath and Gautier (2000)
Ne <sup>(b)</sup>	$2.48 \times 10^{-5}$	$2.80 \times 10^{-7}$	Mahaffy et al. (2000)	—	—	
Ar	$1.82 \times 10^{-5}$	$3.60 \times 10^{-6}$	Mahaffy et al. (2000)	—	—	
Kr	$9.30 \times 10^{-9}$	$1.70 \times 10^{-9}$	Mahaffy et al. (2000)	—	—	
Xe	$8.90 \times 10^{-10}$	$1.70 \times 10^{-10}$	Mahaffy et al. (2000)	—	—	

$\Delta(X/H_2)$  represents the uncertainty on measurement. <sup>(a)</sup>This is a lower limit ; <sup>(b)</sup>this is an upper limit.

TABLE 2: Isotopic ratios measured in Jupiter and Saturn

Isotopic ratio	Jupiter			Saturn		
	$\eta$	$\Delta\eta$	Reference	$\eta$	$\Delta\eta$	Reference
D/H (in H <sub>2</sub> )	$2.60 \times 10^{-5}$	$0.70 \times 10^{-5}$	Niemann et al. (1998)	$1.70 \times 10^{-5}$	$^{+0.75}_{-0.45} \times 10^{-05}$	Lellouch et al. (2001)
				$1.80 \times 10^{-5}$	$\pm 0.5 \times 10^{-05}$	Bézard et al. (2003)
<sup>3</sup> He/ <sup>4</sup> He	$1.66 \times 10^{-4}$	$0.05 \times 10^{-4}$	Niemann et al. (1998)	—	—	
<sup>12</sup> C/ <sup>13</sup> C (in CH <sub>4</sub> )	92.6	$^{+4.5}_{-4.1}$	Niemann et al. (1996)	91.8	$^{+8.4}_{-7.8}$	Fletcher et al. (2009b)
<sup>14</sup> N/ <sup>15</sup> N (in NH <sub>3</sub> )	434.8	$^{+65}_{-50}$	Wong et al. (2004)	—	—	
<sup>20</sup> Ne/ <sup>22</sup> Ne	13.0	2.0	Mahaffy et al. (2000)	—	—	
<sup>36</sup> Ar/ <sup>38</sup> Ar	5.6	0.25	Mahaffy et al. (2000)	—	—	
<sup>128</sup> Xe/total Xe	0.018	0.002	Atreya et al. (2003)	—	—	
<sup>129</sup> Xe/total Xe	0.285	0.021	Atreya et al. (2003)	—	—	
<sup>130</sup> Xe/total Xe	0.038	0.005	Atreya et al. (2003)	—	—	
<sup>131</sup> Xe/total Xe	0.203	0.018	Atreya et al. (2003)	—	—	
<sup>132</sup> Xe/total Xe	0.290	0.020	Atreya et al. (2003)	—	—	
<sup>134</sup> Xe/total Xe	0.091	0.007	Atreya et al. (2003)	—	—	
<sup>136</sup> Xe/total Xe	0.076	0.009	Atreya et al. (2003)	—	—	

TABLE 3: Enrichments in Jupiter and Saturn relatives to Protosun

Species	Jupiter		Saturn	
	E	$\Delta E^{(a)}$	E	$\Delta E^{(a)}$
C	4.3	1.1	9.6	1.0
N	4.1	2.0	2.8	1.1
O <sup>(b)</sup>	0.4	0.1	$1.6 \times 10^{-4}$	$2.9 \times 10^{-5}$
P	3.3	0.4	11.2	1.3
S	2.9	0.7	12.05	—
He	0.8	0.0	0.7	0.1
Ne <sup>(c)</sup>	0.1	0.0	—	—
Ar	2.5	0.8	—	—
Kr	2.2	0.6	—	—
Xe	2.1	0.6	—	—

<sup>(a)</sup>Error is defined as  $(\Delta E/E)^2 = (\Delta X/X_{\text{planet}})^2 + (\Delta X/X_{\text{Protosun}})^2$ ; <sup>(b)</sup>this is a lower limit; <sup>(c)</sup>this is an upper limit.



TABLE 4: Elemental abundances in the Sun and Protosun

Element	Solar dex	Protosolar dex	$\Delta$ dex	Protosolar X/H <sub>2</sub>	$\Delta$ (X/H <sub>2</sub> )
C	8.39	8.44	0.04	$5.55 \times 10^{-04}$	$5.35 \times 10^{-05}$
N	7.86	7.91	0.12	$1.64 \times 10^{-04}$	$5.21 \times 10^{-05}$
O	8.73	8.78	0.07	$1.21 \times 10^{-03}$	$2.12 \times 10^{-04}$
P	5.46	5.51	0.04	$6.52 \times 10^{-07}$	$6.29 \times 10^{-08}$
S	7.14	7.19	0.01	$3.12 \times 10^{-05}$	$7.27 \times 10^{-07}$
He	10.93	10.99	0.02	$1.94 \times 10^{-01}$	$9.13 \times 10^{-03}$
Ne	8.05	8.10	0.10	$2.54 \times 10^{-04}$	$6.56 \times 10^{-05}$
Ar	6.50	6.55	0.10	$7.15 \times 10^{-06}$	$1.85 \times 10^{-06}$
Kr	3.28	3.33	0.08	$4.31 \times 10^{-09}$	$8.71 \times 10^{-10}$
Xe	2.27	2.32	0.08	$4.21 \times 10^{-10}$	$8.51 \times 10^{-11}$
Corrections for protosolar abundances (+0.061 dex (He) and +0.053 dex (others)) are taken from <a href="#">Lodders et al. (2009)</a> .					

TABLE 5: Measurement requirements

Instrument	Measurement
Mass spectrometer	Elemental and chemical composition Isotopic composition High molecular mass organics
Helium abundance detector	Accurate He/H <sub>2</sub> ratio
Atmospheric Structure Instrument	Pressure, temperature, density, molecular weight profile, lightning detector
Doppler Wind Experiment	Measure winds, speed and direction
Nephelometer	Cloud structure Solid/liquid particles
Net-flux radiometer	Thermal/solar energy

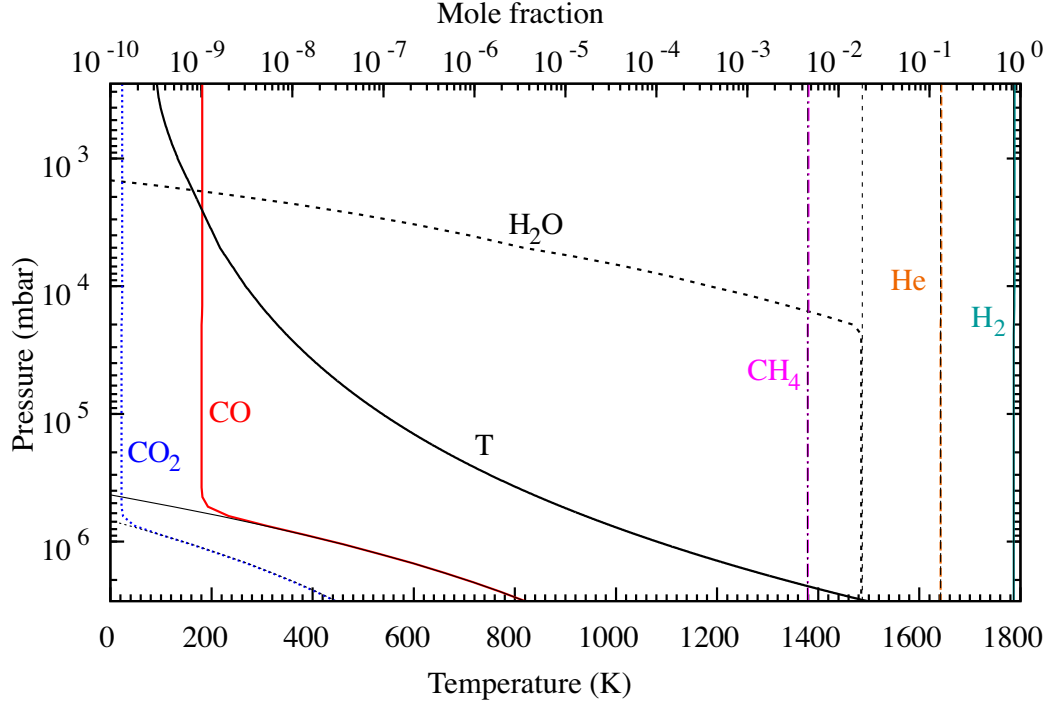


FIGURE 1: Mole fraction profiles in the troposphere of Saturn obtained with [Venot et al. \(2012\)](#)'s model, targeting the  $10^{-9}$  upper limit on the upper tropospheric CO mole fraction obtained by [Cavalié et al. \(2009\)](#). The temperature profile in the troposphere is shown in black solid line. Thermochemical equilibrium profiles are shown as black solid lines with the same layout as their corresponding species. The model parameters are : O/H= 21 times solar, C/H= 9 times solar, and  $K_{zz} = 10^9 \text{ cm}^2 \cdot \text{s}^{-1}$ . Condensation of  $\text{H}_2\text{O}$  occurs around the 20 bar level in this model.

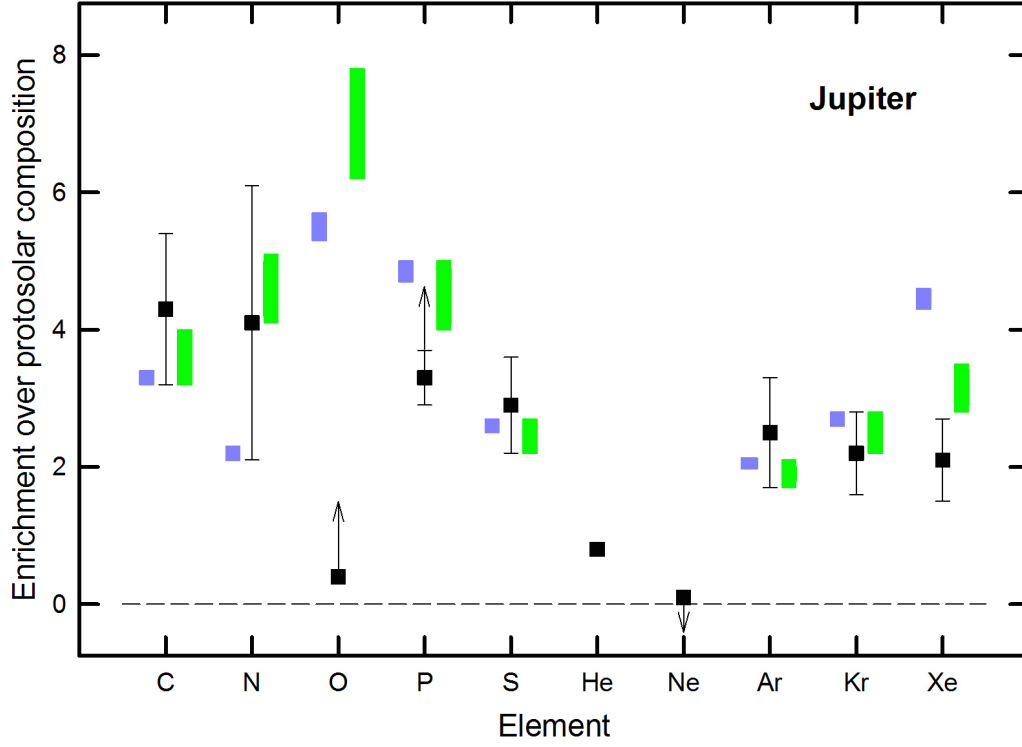


FIGURE 2: Ratio of Jovian to protosolar abundances. Black squares and black bars correspond to measurements and their associated uncertainties. Blue and green bars correspond to calculations assuming oxidizing and reducing conditions in the protosolar nebula, respectively (see text). Arrows pointing up correspond to the possibility that the measured oxygen and phosphorus abundances are lower than their bulk abundances, and arrow pointing down to the fact that the measured Ne abundance is an upper limit.

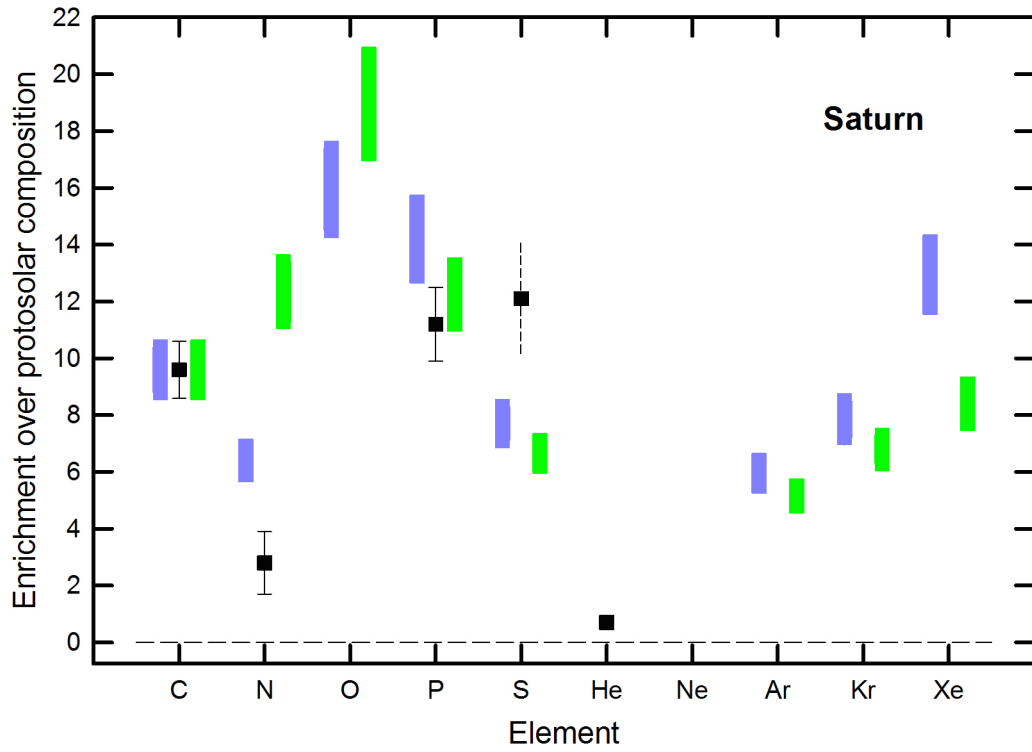


FIGURE 3: Ratio of Saturnian to protosolar abundances. Black squares and black bars correspond to measurements and their associated uncertainties. The O value measured in the troposphere would be close to zero on the utilized scale. Blue and green bars correspond to calculations assuming oxidizing and reducing conditions in the protosolar nebula, respectively (see text).